

**2021-2022**

**RULES OF COMPETITION**



**NORTH CAROLINA ADVOCATES FOR JUSTICE  
HIGH SCHOOL MOCK TRIAL COMPETITION**

## Table of Contents

Overview of the Competition and Message about Covid-19 Safety.....	ii
Schedule for 2021-2022.....	iii
Rules of Competition	
A. Program Organization and Administration.....	1
B. Team Composition and Roles.....	6
C. Case Materials and Restrictions.....	7
D. Trial Procedures and Rules.....	8
E. Judging and Team Advancement.....	15
F. Dispute Resolution.....	20
G. Courtroom Artist Competition.....	21
Rules of Evidence.....	23
Regional Competition Schedule.....	34
Permission for Participation and Release Form.....	35
NCMTP Code of Ethics Form.....	38
M. Gordon Widenhouse Scholarship Application.....	39
Bailiff/Timekeeper Duties and Script, Time Keeping Sheet and Time Cards.....	40
Sample Ballot.....	48
Trial Dispute Form.....	49
Steps in a Mock Trial.....	50
Courtroom Setting.....	52
Source of Proof Charts.....	53
How to use Zoom Effectively.....	57

## NORTH CAROLINA MOCK TRIAL PROGRAM

We are celebrating 30 years of mock trial in North Carolina in 2022! The program was first offered in 1992, administered by the N.C. Academy of Trial Lawyers (NCATL), and it is now managed by the Carolina Center for Civic Education (CCCE), a 501(c)(3) nonprofit created by NCATL to operate the 2005 National High School Mock Trial Championship competition in Charlotte. In 2008, NCATL changed its name to the North Carolina Advocates for Justice (NCAJ). In 2009, CCCE was tasked with the independent operation of the high school mock trial program, with the NCAJ as a major sponsor. In 2019, the CCCE changed its name to the North Carolina Mock Trial Program (NCMTP) to better reflect the mission and purpose of the organization. The NCMTP seeks to help students gain a deeper understanding and appreciation of our legal system through the hands-on activity of mock trial.

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## SCHEDULE OF EVENTS

**Friday, September 3, 2021**

**Case Release Date**

The competition case will be published online; download at <http://ncmocktrial.org/>.

**Friday, September 24, 2021**

**Early Registration**

Registration forms submitted online or postmarked by September 24 are guaranteed assignment at one of their top choices of regions. Register your teams early to have a better chance of securing your first choice of site assignment. Schools with multiple teams: If you wish to ensure that your teams don't compete against each other in Rounds I or II at regionals, select different site preferences for each team.

**October and November 2021**

**Teacher/Team Training Resources**

A Teacher's Resource Manual, student handouts, and many other training materials are available at <http://ncmocktrial.org/resources/training-materials>. In addition, a three-part teacher training video can be viewed anytime by visiting this webpage. Also, recordings of skills seminars designed to teach students about key elements of mock trial can be found at <https://ncmocktrial.org/shop/> (\$25/video).

**Friday, December 10, 2021**

**\*\*Final Registration/Team Fee Deadline\*\***

Three items are due: 1) Team and courtroom artist registration; 2) signed "Notice of Withdrawal Deadlines" (an online form); and 3) fees of \$325/team. **Firm deadline!!** Items should be **received by December 10**.

**Thursday, January 6, 2022**

**\*\*Code of Ethics, Release forms, Team Member Lists due\*\***

- Signed team Code of Ethics form (an online form), individual signed student Releases, and Team Member list (an online form) due to the State Office. See [ncmocktrial.org](http://ncmocktrial.org).

**\*\*Last Day to Withdraw without Penalty\*\***

- If your team must withdraw, contact the Executive Director in writing via email no later than **January 14** to request a refund of registration fees. Withdrawals at a later date will result in forfeiture of the registration fee and may incur a penalty (**see Rule 1.8**) due to the significant disruption caused by late withdrawals. Teams will learn their Regional Competition site assignment within 7 days of this deadline.

**Thursday, February 3, 2022**

**NEW**: Teams rosters (fillable form at [ncmocktrial.org](http://ncmocktrial.org)) are due via email by Noon on **Thurs, February 3**.

**Saturday, February 5, 2022**

**Regional Competition**

Mock trial teams and courtroom artists compete at **ten online regional sites** throughout North Carolina (Fayetteville, Gastonia, Greenville, Hendersonville, Hickory, High Point, Hillsborough, Raleigh, Salisbury, and Wilmington). Teams at all sites will compete in two rounds of competition and will try both sides of the case. The top two teams will compete in a final round, with the winner advancing to State Finals. Courtroom artist regional winners will be invited to compete at States.

**Friday – Saturday, March 18-19, 2022**

**State Finals Competition**

The regional champions, at-large teams and courtroom artist regional winners will meet in Raleigh to compete for the State Championship at Campbell University School of Law (further details tba). On Friday, March 18, teams will arrive in Raleigh for registration, Round 1, and the Widenhouse Award reception. On Saturday all teams will participate in two rounds of competition and the two top-ranking teams will compete in a final round. The State Champion will earn the right to represent North Carolina at the National High School Mock Trial Championship hosted **online** by Kalamazoo, MI from **May 5-7, 2022**.

## Rules of Competition

### **YELLOW HIGHLIGHT REFLECTS NEW ADDITIONS PERTAINING TO ONLINE COMPETITION**

#### **A. PROGRAM ORGANIZATION AND ADMINISTRATION**

##### **Rule 1.1. Rules**

The North Carolina Mock Trial Program (“NCMTP”) Rules of Competition and the NCMTP Rules of Evidence and Procedure shall govern all trials. Questions or interpretations of these rules are within the discretion of NCMTP Executive Director and Board of Directors, whose decisions are final.

##### **Rule 1.2. Code of Conduct; Expectations of Participants; Sanctions for Inappropriate Behavior**

The Rules of Competition, as well as proper rules of courthouse and courtroom decorum and security, must be followed. The ideals of fair play, civility, and friendship shall guide the conduct of all participants and observers throughout all mock trial activities including, but not limited to, tournament rounds, breaks between rounds, organizational meetings, and team practices. Courtesy toward opposing team members, judges, tournament officials, coaches, and one’s own team members is expected of all participants and observers.

**Rule 1.2.a. Team coaches.** Every team must have at least one officially designated adult team advisor/coach, who is responsible to provide adult supervision of the team, ensure that all deadlines for submission of fees and forms are met, and communicate clearly the Code of Conduct to all team members and observers. Students and/or persons who are not age 18 or older cannot serve as the designated team advisor. Because the purpose of mock trial is to instill respect for the legal system and its ideals of justice, equality, and truth, coaches are expected to champion these ideals above winning.

**Rule 1.2.b. Sanctions for Inappropriate Behavior.** The NCMTP possesses discretion to impose sanctions up to and including, but not limited to, deduction of points, the team’s immediate eviction from the competition, suspension from competing in future competitions, and/or forfeiture of all fees and awards (if applicable) for any misconduct, flagrant rule violation, or breaches of decorum that affect the conduct of a trial or which impugn the reputation or integrity of any team, school, participant, court officer, judge, or the mock trial program.

**Rule 1.2.c. Expectations Regarding Internet Access & Devices Used at Competition.** At virtual competition, each participant will log into the virtual competition platform from a personal computer, tablet, cellular phone, or similar device with working webcam and audio, unless permission is granted by the NCMTP Board or its designee to do otherwise. At a minimum, each participating attorney, witness, and timekeeper shall utilize an individual device and with reliable internet connection during the time of their role. Each participant shall use a screen name formatted according to the protocol established and announced for the competition (Rule 4.3).

If following all state- and county-mandated guidelines regarding crowd size, a team may gather in the same geographic space during competition days, but should take into account the potential for ‘feedback’ if various computers are accessing Zoom in the same geographic area. Some distance between computers may be recommended; teams are required to test their set up before competition to ensure no feedback occurs.

Once the trial begins, only participants who are competing in a particular trial segment will have their camera turned on. All team members who are not actively participating in that trial segment must have their cameras turned off, except for timekeepers keeping their cameras turned on to display remaining time consistent with Rule 4.7. For purposes of this rule, the witness, direct-examining attorney and cross-examining attorney must have their cameras turned on for the entire witness examination.

**Rule 1.2.d. Prohibition of Use of Logos on Zoom Accounts.** Students are absolutely PROHIBITED from having a logo (school mascot, favorite movie character, etc) uploaded to their Zoom account while participating in this competition. When a participant's video is turned off, NO image should display on their screen other than the default Zoom blank box/screen. Students should also not have anything in their video shot that will identify their school or team. As per Rule 4.2, teams should only be identified by their team letter during competition. See tips for adjusting Zoom account settings at the end of this document.

**Rule 1.2.e. Emergencies during Virtual Competitions.** In the event of technical difficulties during the trial in a virtual competition, the presiding judge shall have discretion to declare a brief recess to resolve any technical difficulty substantially impairing a participant's participation in the trial. If the technical difficulty cannot be resolved within a reasonable, but brief, amount of time, then the trial will continue with another member of the impacted team substituting for the impacted team member. The emergency substitute must be a member of the same team as the impacted participant.

Before making an emergency substitution, the impacted team must make the presiding judge aware, by stating words to the effect of, "Your honor, before I begin I would like to inform the court that I am [insert name] and I am substituting for [insert name], who is unable to compete due to technical difficulties." Teams shall advise the Regional Coordinator of any emergency substitution following the round of competition. The presentation will be scored based on the performance by the initial team member and the emergency substitute, taken as a whole.

Once the presiding judge determines either at the request of the team or *sua sponte* that a student is unable to compete in a role due to technical difficulties, to minimize disruption, the impacted student is not permitted to return and compete in the role for which a substitution was made. If the technical difficulty is resolved, the impacted participant may return and participate in his or her other roles, if any. For purposes of this rule, a witness examination consisting of direct, cross, any re-direct and any re-cross is one role, so that a participant who requires an emergency substitution for a witness examination may not return and participate until the entire witness examination is completed.

For purposes of this rule, technical difficulties include internet failure and computer, device or microphone failure; failure of a camera only does not permit emergency substitution under this rule. Students who lose internet connection shall rejoin the trial using a telephonic connection, if possible.

In the event of a loss of connection for a timekeeper, that team shall defer to its opponent's timekeeper for that trial segment. The team whose timekeeper lost connection may substitute another timekeeper qualified under Rule 4.7 for the remaining trial segments. The timekeepers

shall confer consistent with Rule 4.7 regarding time remaining at the beginning of each trial segment.

Technical emergencies resulting from the loss of the connection of a presiding or scoring judge shall be handled in accordance with Rule 5.2.d.

In the event that a technical emergency prevents an entire team from completing in part or all of a round, the presiding judge shall declare a recess of up to 15 minutes, to allow that team to reconnect, either via video or by connecting on audio-only via telephone. If reconnection is impossible, a forfeit shall be declared in favor of the team that maintains its connection. In the case of a team's forfeiture, score averages will be used to complete the remaining ballots down both sides for purposes of powermatching.

No student or team may feign technical difficulty or invoke the technical difficulty rule for purposes other than a genuine technical difficulty. Such an act would violate the Rules of Competition and Code of Ethical Conduct and may be sanctioned at the discretion of the NCMTP Board or its designees through disqualification from the competition.

### **Rule 1.3. Registration Procedures**

To participate in the NCMTP competition, teams must complete the following steps by the deadlines listed in the published schedule:

- Submit the Registration Form and Registration Fees
  - Teams that register by the Early Bird Deadline are more likely to receive their first or second choice of regional site assignments.
- Submit a signed Notice of Withdrawal Deadlines form (online)
- Submit a signed Ethics form (online)
- Submit a signed Permission/Release form for each participating student (official team members and one alternate)
- Submit a Team Member list (online)
- Teams rosters are due via email by Noon on **Thursday, February 3**

**Rule 1.3.a.** The Official Team Roster is “locked” when submitted on February 3. The team members may not be changed if the team advances to the next level of competition (although roles on the team may be modified as needed).

**Rule 1.3.b.** Any requests for roster modifications before the next level of competition (other than a change of roles) must be submitted in writing to the NCMTP Executive Director for review. The decision of the NCMTP Board regarding any such requests is final.

### **Rule 1.4. Regional and State Finals Competitions**

The NCMTP Competition consists of two levels of team trial competitions: Regionals and State Finals. Each year, the NCMTP Board determines the total number and location of competition sites.

The online version of the NCMTP Regional Competitions (“Regionals”) is held on the first Saturday in February. The State Finals Competition (“State Finals”) is held in early March and is

a two-day tournament in which every team argues each side of the case at least one time. After preliminary rounds, the top two teams shall meet in a Championship Round to determine the State Champion.

**Rule 1.4.a. Use of Facilities for Regionals and State Finals.** Teams are permitted and encouraged to hold a practice session in a local courthouse prior to the Regional and State Finals competitions. However, to prevent unfair advantage, teams advancing to State Finals are not to use courtroom facilities at the State Finals venues (Campbell Law School and any other State Finals sites) between the end of the regional competition and the start of the State Finals competition rounds.

#### **Rule 1.5. Team Expenses**

Expenses for the Regional and State competitions are the responsibility of the participants and their sponsoring school(s)/organization(s).

**Rule 1.5.a. Nationals.** The NCMTP shall pay the registration fee for the qualifying N.C. team to participate in the National High School Mock Trial Championship competition and shall provide up to \$300 to purchase memorabilia for the pin exchange event, if held. All other team expenses related to the National competition shall be the responsibility of the qualifying team.

#### **Rule 1.6. Assignment of Regional Competition Site**

The NCMTP reserves the right to assign each team to a regional competition site based on the following: (1) date of submission of registration form; (2) the top four sites requested by the registrant; (3) the number of teams requesting each site, with the objective of maintaining parity and an even number of teams at each site; and (4) travel time and cost considerations for the school.

~~*Teams may have to travel up to 2 hours to their assigned regional site and should plan accordingly.*~~ The NCMTP shall notify each school of their assigned regional competition site(s) in accordance with the published schedule for the season.

**Rule 1.6.a.** A school/organization may register an unlimited number of teams for the competition. However, only two teams from each school/organization may compete at the same regional competition site. If a school fielding multiple teams prefers that their teams don't compete against each other in Rounds I or II of Regional Competition, we recommend selecting different site preferences for each team at registration. A school can register one courtroom artist per team.

**Rule 1.6.b.** If a school/organization has won the same regional championship for two consecutive years, its team(s) shall not be permitted to compete in that region for a third year but may compete at any of the remaining regional competition sites. The NCMTP Board reserves the right to waive this rule if circumstances warrant waiver in the discretion of the NCMTP Board.

**Rule 1.6.c.** A region hosting an odd number of teams must hold a bye round. If an odd number of teams is confirmed at a regional site, the NCMTP may reassign teams to a different region to avoid a bye round. The team advisor of a reassigned team shall be promptly notified.

#### **Rule 1.7. Team Eligibility for Regional Competition**

Teams must be properly registered with the NCMTP. Teams must be comprised of students from the same high school or from a common organization. Any exceptions must be approved by the NCMTP. All team members must be listed on the Official Team Roster (as stated in Rule 1.3).



### **Rule 1.8. Withdrawal Deadlines and Penalties for Late Withdrawal**

If a school/organization finds it necessary to withdraw from the competition, it may request a refund if it withdraws ON OR BEFORE the designated “Last Day to Withdraw” for the competition season. After that time, the following penalties shall apply:

- Team withdrawals from the competition AFTER the designated “Last Day to Withdraw” and at least 8 days prior to the regional competition date shall result in forfeiture of the team registration fee.
- Teams that withdraw from the competition between 7 and 4 days prior to the regional competition (inclusive) shall be assessed a \$150 penalty.
- Teams that withdraw from the competition between 3 days prior and the day-of the competition (inclusive) shall be assessed a \$200 penalty.

Any school/organization assessed a penalty for late withdrawal that does not pay the penalty within 30 days after the regional competition date shall forfeit their participation in the competition for one year.

Under extenuating circumstances, penalties for late withdrawal may be waived at the discretion of the NCMTP Board. Such circumstances may include, but are not limited to, inclement weather or significant illness preventing a team from fielding the required number of students. Lack of preparation will not be considered an “extenuating circumstance.”

### **Rule 1.9. Team Eligibility for State Finals Competition**

Teams advance to the State Finals based upon their final ranking in the Regional competition. Each Regional Champion automatically advances to State Finals. Regional champion teams must confirm their intent to participate in State Finals by noon on the first Tuesday after Regional competition or risk losing their spot to an alternate team.

**Rule 1.9.a.** The NCMTP determines the total number of team slots available at State Finals. After automatic invitations have been issued, the NCMTP shall award any remaining slot(s) (IF ANY) to the highest-ranking team(s) based on the advancement criteria stated in Rule 5.7 as applied to the results at Regionals. The NCMTP shall promptly notify each additional team advancing to the State Finals (IF ANY). Any such teams invited to State Finals have 48 hours from notification to confirm their intent to participate.

After confirmation, absent extenuating circumstances, withdrawal from participation in State Finals may result in the sponsoring school/organization being barred from participating in any way in the competition in the following year. Any such decision is at the discretion of the NCMTP Board.

**Rule 1.9.b.** Teams competing in the State Finals Competition must be comprised of students who participated on the same team at the Regional competition. Any exceptions must be reviewed and approved by the NCMTP as stated in Rule 1.3.b.

### **Rule 1.10. Team Eligibility for National Competition**

The State Championship team automatically qualifies to compete at the National High School Mock Trial Championship (“National Championship”). The State Champion must indicate its intent to participate at the National Championship by noon on the first Friday after State Finals. For the National Championship, the team must be comprised of 7 – 9 official student members

who served on the current State Championship team. If the state championship team has seven or more students available to compete, it shall not add additional students to its roster for the National Championship. No alternates may be listed on the national team roster.

If the inability of students to attend the National Championship would reduce the State champion team roster below the required minimum of 7 students, the National Board, at its discretion, may allow the addition of a maximum of two students to bring the roster back up to seven (7).

Alternatively, the National Board may designate the second place team, or, if necessary, another alternate team to represent North Carolina, so long as all students on the alternate team are from the same original team. If the replacement team has four, five, or six students available to compete, the replacement designee team may add up to two students to reach a roster size of a maximum of seven students in a manner consistent with the foregoing rules. However, these students cannot come from a team that finished higher in the state competition than the replacement designee team (e.g., if neither the state champion nor state runner-up can compete, members of those teams are ineligible to join the replacement designee third place team).

## **B. TEAM COMPOSITION AND ROLES**

### **Rule 2.1. Student Eligibility**

All students in grades 9 to 12 are eligible to compete. A student must be currently enrolled as a full-time student in the sponsoring school in order to participate as a team member or must be an active member of a sponsoring organization (such as YMCA, Boys and Girls Club, Teen Court, or local homeschool support group).

### **Rule 2.2. Team Composition**

Teams must consist of a minimum of seven and a maximum of nine official student team members. Each team of 7 – 9 students must argue both sides of the case (Plaintiff/Prosecution and Defense) at competition (one side per round). In each round, three official team members will serve as attorneys, and three different official team members will serve as witnesses. A seventh official team member will serve as timekeeper.

Only official team members may compete at Regional and State competition. Timekeepers must be official team members, not alternates. One alternate may be listed on the regional or state finals team roster. However, they shall not take part at any level of competition in any role, unless they must fill in for an official member during an emergency (illness, etc.) occurring on the day of competition.

### **Rule 2.3. Team Roles**

Seven official members will participate actively in each round. Because each team must present both sides of the case, the 7-9 official team members must fill 14 different roles:

- 3 Prosecution/Plaintiff attorneys
- 3 Defense attorneys
- 3 Prosecution/Plaintiff witnesses
- 3 Defense witnesses
- 1 Prosecution/Plaintiff timekeeper\*
- 1 Defense timekeeper

\* The prosecution/plaintiff timekeeper will also serve as bailiff, swearing in the witnesses before

the round using a script provided by the NCMTP.

**Rule 2.3.a.** Most students will have a role on both sides of the case and may portray the same, or different, roles on the two sides: attorney on both; witness on both; timekeeper on both; witness on one and attorney on the other; or timekeeper on one and attorney or witness on the other.

**Rule 2.3.b.** Each team must call all three of their witnesses during their case-in-chief. Each witness shall undergo a direct and a cross examination when called to the stand. Neither side may recall a witness.

**Rule 2.3.c.** All participants are required to introduce themselves during pre-trial matters. See page 50 below.

### **Rule 2.4. Attorney Duties**

Each attorney shall conduct one and only one direct examination and one and only one cross examination in each round. In addition, one attorney shall present the opening statement and a different attorney shall present the closing argument in the same round.

**Rule 2.4.a.** Only the attorney who conducts the direct or cross examination of a particular witness is permitted to make or argue objections during the testimony of that witness.

**Rule 2.4.b.** If an attorney performs an impermissible role (i.e., conducts more than one direct or cross-examination and/or delivers both the opening statement and closing argument in the same round), opposing counsel should raise the following objection to the judge at the time that the violation occurs: “I object on the ground that counsel is in violation of Rule 2.4.b.”

If true, the offending team shall receive a score of zero for each violation of Rule 2.4.b in the appropriate score box(es) for all of their score sheets for that trial round.

**Rule 2.4.c.** Online competition environments don’t allow for as many non-verbal cues as in-person events. Therefore, we suggest that after attorneys have finished their role they say something similar to “Nothing further, your honor” to mark the end of their speaking role.

## **C. CASE MATERIALS AND RESTRICTIONS**

### **Rule 3.1. Competition Case**

The competition case will be a fictional fact pattern and will provide a mandatory three witnesses per side. All witnesses may be played by students of either gender. The competition case may also contain any or all of the following: case summary, legal documents, summaries of case law, stipulations, jury instructions, and/or exhibits. Case clarifications, if appropriate, will be published at ncmocktrial.org up to and until 10 days before the Regional competition.

### **Rule 3.2. Case Preparation**

To prepare for competition, students are limited to the:

- Supplied case materials and any addenda issued by the case committee
- NCMTP Rules of Competition, and

- NCMTP Rules of Evidence and Procedure.

Students may research case-related topics as an educational exercise, but outside materials may NOT be used at trial.

**Rule 3.2.a.** Student presentations must be the work product of the students themselves, guided by team and legal advisors.

### **Rule 3.3. Supplemental Material / Costuming**

No illustrative aids of any kind may be used, unless provided in the case packet. No enlargements of the case materials shall be permitted unless provided to all teams by the NCMTP. No exhibits may be modified before trial, but attorneys and witnesses may highlight, underline, or otherwise mark exhibits during direct or cross examination. Such marked documents may be used as demonstrative exhibits during the trial and during closing arguments, but may not be entered into evidence.

Absolutely no props or costumes are permitted unless authorized specifically in the case materials. Costuming is defined as hairstyles, clothing, accessories, and make-up that are case-specific. The use of spoken accents to add witness characterization is permitted.

Your team roster will be provided to your presiding judge and scoring jurors on your behalf before trial. The only documents that the teams should present to the presiding judge or scoring jurors are the individual exhibits as they are introduced into evidence; students can use Zoom's screen share feature as described in Rule 4.10.e. or have the option to assume that the judge and jurors have a copy of each exhibit in front of them. **Teams are not allowed to provide exhibit notebooks to the presiding judge or scoring jurors.**

### **Rule 3.4. Witness Bound by Statements**

Each witness is *bound by the facts* contained in that witness's statement and any exhibits or other documents with which the witness is familiar (as noted in the witness's statement). Teams must not create new facts or deny facts in the case in order to gain an advantage.

A witness is *not* bound by facts contained in other witness statements unless specifically detailed in the witness's own statement.

**Rule 3.4.a. Witness Characterization.** Witnesses may develop the persona of their character *consistent with* Rules 1.2 and 3.3. Any invented background information must be neutral; it must *not* include "material" facts which would *affect the merits* of the case.

## **D. TRIAL PROCEDURES AND RULES**

### **Rule 4.1 Courthouse Security and Rules**

Participants and coaches must follow all security requirements related to online competition as instructed by the NCMTP Board, staff, or its designees.

### **Rule 4.2. Team Identification**

During online competition, your team will be assigned a team letter which will be provided to you on Feb 2. Please use that letter when you submit your team roster before Noon on February 3, 2022. Your team will be known by this letter throughout the Regional Competition. A similar

random letter assignment process will be followed for State Finals.

**Rule 4.3. Use of Features on Zoom during Online Competition**

	Student Attorney	Student Witness	Student Timekeeper	Judge	Juror	Room Liaison
<b>Video</b>	OFF until speaking role. ON when you are on deck to make objections!	OFF until speaking role	ON always	ON always	ON always	OFF always
<b>Microphone</b>	OFF until speaking role	OFF until speaking role	OFF until speaking role if any	ON always	OFF always	OFF always
<b>Virtual Background</b>	Option to select white/black background only	Option to select white/black background only	Option to select white/black background only	May use courtroom scene	Option to select white/black background only	Option to select white/black background only
<b>Recording privilege</b>	NONE	NONE	NONE	NONE	NONE	YES
<b>Re-name on Zoom* (first three letters of region name only)</b>	Ex for team A in Ftville:  A (Fay) Atty (P) – Jane Doe	Ex for team B in Ftville:  B (Fay) W (P) – Jane Doe playing Tracy O’Grady	Ex:  A (Fay) Timekeeper (P) – Jane Doe	Ex:  Judge (room #) Jane Doe	Ex:  Juror (room #) Jane Doe	Ex:  Courtroom Liaison (room #) Jane Doe

DEFENDANTS: Students playing Donna O’Grady should name themselves as such. For example, for team B in Fayetteville: “B (Fay) Defendant Donna O’Grady. DONNA’S VIDEO CAN BE ON THROUGHOUT TRIAL. KEEP AUDIO OFF.”

NOTE 2: Courtroom artists should follow the same naming protocol. Example: B (Fay) Artist Jane Doe. Artists must have their VIDEO AND AUDIO OFF THROUGHOUT TRIAL.

\*We REQUIRE that you re-name yourself on Zoom -- following the naming protocol listed above -- **BEFORE** joining the Zoom call on competition day. See instructions at the end of this book. Following the naming protocol will allow you to move from the waiting room into the Zoom call on competition day without delay.

**Rule 4.4. Jury Trial**

The case will be tried to a jury; arguments are to be made to judge and jury. Teams may address the scoring jurors as “the jury.”

#### **Rule 4.5. Pre-Trial and Swearing of Witnesses**

Pre-trial matters will not be timed. To facilitate online competition, an attorney for each team should ask permission of the presiding judge for time for introductions. Each individual team member should introduce themselves and their role. The team leader should introduce the team's courtroom artist, if applicable.

The prosecution/plaintiff timekeeper shall act as bailiff and administer the following oath to all witnesses together before questioning begins:

“Do you promise that the testimony you are about to give will faithfully and truthfully conform to the facts and rules of the mock trial competition?”

#### **Rule 4.6. Trial Sequence and Time Limits**

The trial sequence and time limits are as follows:

- Opening Statements (4 minutes per side)
- Direct and Redirect (optional) Examination (20 minutes per side)
- Cross and Re-cross (optional) Examination (18 minutes per side)
- Closing Arguments (5 minutes per side)

No adjournments or breaks should be given during trials in non-emergency situations in order to adhere to the tournament schedule and prevent undue delays.

**Time limits are mandatory and shall be enforced.** Attorneys are not required to use the entire time allotted to each part of the trial. Time remaining in one part of the trial may not be transferred to another part of the trial.

#### **Rule 4.7. Timekeeping**

Each team must provide one student in each round to serve as an official timekeeper for that round. The Plaintiff/Prosecution timekeeper will also serve as Bailiff by swearing in the witnesses.

***Both timekeepers should time all relevant aspects of the trial, not just their own team's case presentation.*** Timekeepers should display the “Time Remaining” cards simultaneously. In trial, each team is to use a set of "Time Remaining" cards found at the back of this book with the following designations to signal time: 15:00, 12:00, 10:00, 8:00, 5:00, 4:00, 3:00, 2:00, 1:00, 0:40, 0:20, and "STOP". Modification of intervals is not permitted. Teams are not permitted to use other cards. Teams may not use these cards to signal time other than the aggregate time remaining. (For example, teams may not use these cards to show the time remaining of the time allocated by that team to a particular trial segment.)

Timekeepers are to act as *neutral* entities. They are not to communicate with their respective teams during the course of the trial presentation, emergency recesses, or any dispute procedure, except to display the time remaining cards and indicate (as directed by the presiding judge) how much time is remaining during a particular part of the trial.

During a virtual competition, after each witness, timekeepers shall confer using the “chat” or similar feature regarding how much time remains for each team.

If, due to illness or other circumstances, a team drops below the required minimum of 7 students prior to the day of competition, the team shall inform the Executive Director. The NCMTP will

evaluate the situation and determine whether to grant permission for the team to compete with 6 students (3 attorneys and 3 witnesses) and no timekeeper. In that instance, they must rely on the timekeeper provided by the other team, and they waive any and all disputes regarding timing.

**Rule 4.7.a. Timekeeping devices.** Teams must use two stopwatches or a dual-event digital timer (recommended) to use for timekeeping during trials. The NCMTP will NOT provide timekeeping devices. The use of cell phones, iPads, laptops or internet-connected devices to keep time is prohibited. If a team does not use approved timekeeping devices, they must rely on the timekeeper provided by the other team, and they waive any and all disputes regarding timing.

**Rule 4.7.b. Discrepancies.** At the end of each task during the trial presentation (i.e., at the end of each opening, at the end of each direct examination, at the end of each cross-examination, and at the end of each closing argument), if there is more than a 15 second discrepancy between the teams' timekeepers, the timekeepers must notify the presiding judge of the discrepancy and provide their records to the presiding judge. The presiding judge will then rule on the discrepancy, the timekeepers shall synchronize their stopwatches accordingly, and the trial shall continue. Any discrepancies of fewer than 15 seconds shall not be considered. The decisions of the presiding judge regarding the resolution of time disputes are final, and no time disputes shall be entertained after the trial concludes.

**Rule 4.7.c. Time Extensions and Scoring.** The presiding judge shall have discretion to stop time for technical difficulties in a virtual competition that do not rise to the level of an emergency under Rule 1.2.B. If a team is faced with a minor technical difficulty as described herein, such as the presence of audio feedback, a student attorney should ask the judge to consider briefly stopping time to resolve the issue by citing Rule 4.7.c. If time has expired and an attorney continues without permission from the judge, the scoring jurors should determine individually how many points to deduct from the attorney's score.

If a team uses up all of its allotted time for direct examination before its final witness 'takes the stand,' that final witness will not be permitted to testify. The witness and directing attorney shall both receive a score of zero ("0"). If the opposing team has time remaining for cross examination, the crossing attorney shall not conduct a cross-examination, but the crossing attorney shall receive the same score for cross as s/he has received on their direct examination during that trial.

**Rule 4.7.d. Untimed activities.** The following trial aspects are "off the clock": pre-trial matters, swearing in witnesses, calling and dismissing witnesses, making objections, or extensive questioning from the judge. The timekeepers should stop the timer during these activities.

**Rule 4.7.e. Exhibits.** Time *does NOT stop* for introduction of exhibits.

#### **Rule 4.8. Standing During Trial and Movement About the Courtroom**

Attorneys shall remain seated throughout most of the online regional competition but have the option of standing if they choose while giving opening statements and closing arguments.

**Rule 4.8.a.** At Regionals, attorneys are to remain seated when conducting direct and cross-examinations (as is the accepted courtroom procedure in North Carolina).

**Rule 4.8.b.** At State Finals, unless excused by the judge, attorneys are to stand while giving opening and closing statements, during direct and cross-examinations, and for all objections.

### **Rule 4.9. Statements**

**Rule 4.9.a. Opening statements.** Both sides must give opening statements at the beginning of the trial. The Prosecution/Plaintiff gives its opening statement first.

**Rule 4.9.b. Closing arguments.** Closing arguments must be based on the actual evidence and testimony presented during the trial. Both sides must give closing arguments at the end of the trial. The Prosecution/Plaintiff gives its closing argument first.

- i. The Prosecution/Plaintiff may give a rebuttal after the Defense closing argument. The length of time for Prosecution/Plaintiff rebuttal shall be the amount of time not used during the Prosecution/Plaintiff's initial closing argument, up to, but not exceeding, half the allotted time. The Prosecution/Plaintiff need not expressly reserve time and does not waive its right to rebuttal by failing to expressly reserve time. The Prosecution/Plaintiff's rebuttal is limited to the scope of the Defense's closing argument.
- ii. The Defense shall not give a rebuttal.

**Rule 4.9.c. Objections During Opening Statement / Closing Argument.** No objections may be raised during opening statements or during closing arguments.

If a team believes an objection would have been proper during the opposing team's opening statement or closing argument, its own opening/closing attorney may stand to be recognized by the judge after opposing counsel concludes their statement and say, "As stated in Rule 4.9.c, had I been permitted to object, I would have objected to the opposing team's statement that \_\_\_\_\_ because \_\_\_\_\_."

The presiding judge shall not rule on this "objection." Presiding judges and scoring jurors will weigh the "objection" individually and score accordingly. No rebuttal by the opposing team will be heard.

### **Rule 4.10. Questioning of Witnesses**

Teams may call their three witnesses in any order. For direct examinations and cross-examinations, attorneys may divide the allotted time among witnesses in any way that best suits their case.

**Rule 4.10.a. Use of notes.** Witnesses are not permitted to use notes while testifying at trial. Attorneys may use notes in presenting their case. Attorneys may consult with each other at counsel table verbally (or using texting on silent if physically separated) or through the use of notes. The use of laptops, iPads, or any other electronic devices is not prohibited during online competition.

**Rule 4.10.b. Redirect/ Re-cross.** Redirect and re-cross examinations are permitted, provided they conform to the restrictions in Rule 611(d) of the NCMTTP Rules of Evidence and Procedure.

**Rule 4.10.c. Voir Dire.** *Voir dire* examination of a witness is not permitted.

**Rule 4.10.d. Sequestration and Exclusion.** Teams may not request actual or constructive sequestration or exclusion of witnesses.

**Rule 4.10.e. Exhibits.** With proper foundation, exhibits may be entered on direct examination or cross-examination. For educational purposes, see the following steps to effectively introduce evidence:

1. All evidence will be pre-marked as exhibits.



2. Ask for permission to approach the witness. "Your Honor, may I approach the witness with what has been marked for identification purposes as Exhibit No. \_\_\_\_?"
3. Show the exhibit to opposing counsel.
4. Ask the witness to identify the exhibit. "I now hand you what has been marked for identification as Exhibit No. \_\_\_\_\_. Would you identify it please?" Witness should answer to identify only.
5. Ask the witness a series of questions that are offered for proof of the admissibility of the exhibit. These questions lay the foundation or predicate for admissibility, including questions of the relevance and materiality of the exhibit.
6. Offer the exhibit into evidence. "Your Honor, we offer Exhibit No. \_\_\_\_ into evidence."
7. Court: "Is there an objection?" (If opposing counsel believes a proper foundation has not been laid, the attorney should be prepared to object at this time.)
8. Opposing Counsel: "No, Your Honor," OR "Yes, Your Honor." If the response is "yes", the objection will be stated for the record. Court: "Is there any response to the objection?"
9. Court: "Exhibit No. \_\_\_\_ (is/is not) admitted." If admitted, questions on content may be asked.
10. If an exhibit is introduced into evidence, a team may publish it to the jury at the presiding judge's discretion.

### **Special Process to Enter Exhibits Into Evidence During an Online Competition**

During a virtual competition, the procedure in Rule 4.10.e shall be followed, except that:

1. All witnesses shall have all case materials available and in their possession during their testimony but may only refer to them when prompted by an examining attorney.
2. Attorneys will not physically approach witnesses. Instead, attorneys will identify the exhibit they wish to show the witness and request the Court's permission for the witness to view it.
3. Attorneys will not be required to confirm that they have shown the exhibit to opposing counsel.
4. Instead of the language in Step 4, above, the attorney will say words to the effect of "I now show you what has been marked for identification as Exhibit No. \_\_\_\_\_. Would you identify it please?" Witness should answer to identify only.
5. When an exhibit – or, during impeachment or refreshment of recollection, some other document – is shown to a witness, a member of the examining attorney's team can make that document available to all participants via "screen sharing" or similar technology. The member of the team responsible for posting the exhibit must be a team member competing in the round or the timekeeper for the round. If screen sharing isn't preferred, a team can assume all parties have access to the exhibits in front of them.
6. Exhibits or other documents posted in this manner will be deemed not to have been shown to the jury unless they are admitted into evidence and formally published to the jury. Publication to the jury is at the presiding judge's discretion.

7. Teams may use technology to mark exhibits electronically only to the extent that marking physical exhibits would have been permitted by Rule 3.3. Any marked electronic exhibits may only be used as provided in Rule 3.3.

#### **Rule 4.11. Motions.**

No motions are permitted except for the following:

- A motion to strike testimony following a sustained objection; and
- A motion to enter a witness as an expert after sufficient foundation has been laid under Rule 702.

A motion for a recess may be used ***only in the event of an emergency***, i.e., health emergency. Should an emergency recess be permitted by the presiding judge, to the greatest extent possible, team members are to remain in place. During a recess, competing students are not to communicate with any observers, non-participating teammates, or coaches regarding the trial.

#### **Rule 4.12. Stipulations, Reading into the Record, and Bench Conferences**

**Rule 4.12.a. Stipulations.** Stipulations shall be considered part of the record and already admitted into evidence.

**Rule 4.12.b. Reading Into The Record.** The indictment and stipulations shall not be read into the record unless being used for purposes of impeachment. Under no circumstances may the Charge to the Jury be read into the record.

**Rule 4.12.c. Bench Conferences.** Teams may not request bench conferences, and bench conferences are not permitted at competition. Objections are deemed to have occurred at sidebar.

#### **Rule 4.13. Trial Communication**

Coaches, non-participating official team members, alternates, and (on-site) observers shall not talk to, signal, communicate with, or coach their teams during trial. This rule remains in force during any emergency recess that may occur.

Participating team members may communicate among themselves during the trial; however, no disruptive communication is allowed. Signaling of time by the teams' timekeepers shall not be considered a violation of this rule.

During a virtual competition, no team member, coach, or judge nor students may use the "chat," "instant message," or "chatroom" function of the electronic platform, except to: (1) display timekeeping messages, as permitted by Rule 4.7, and (2) to communicate in the case of a technical emergency where audio and video functions are lost but access to the chat or instant messaging function is intact.

During a virtual competition, only the participating team members may communicate with one another. The participating team members may use computers, cellular telephones (on silent), or other devices to facilitate this communication.

#### **Rule 4.14. Viewing a Trial**

Scouting other teams is not permitted. Team members, alternates, attorney coaches, team sponsors, family members and supporters, and any other persons associated with a particular

mock trial team—except for those authorized by the NCMTP—are not allowed to view other teams in the competition as long as their team remains in the competition. If a school or organization has two teams competing at one regional site, *only the teacher(s) and attorney advisor(s) may move between online courtrooms*. In that instance, such individuals shall not share information about either opposing team with the team(s) not in that trial.

**Rule 4.15. Videotaping/Photography**

All online competitions will be recorded by NCMTP competition officials; competition round recordings will be shared with the participating teams at the close of the competition. No more than 6 observers per team will be able to join the breakout rooms for trial (due to Zoom size limitations with the number of breakout rooms used).

**Rule 4.16. The Critique**

The presiding judge and scoring jurors are allowed a combined total of ten minutes for oral comments and feedback on student performances. The timekeepers will monitor the critique and the presiding judge should enforce the limit.

Judges and jurors shall not offer a verdict or give a ruling on the legal merits of the trial, nor shall they inform the students of ballot results.

**E. JUDGING AND TEAM ADVANCEMENT**

**Rule 5.1. Finality of Decisions**

All decisions of the presiding judge and scoring jurors are final.

**Rule 5.2. Composition of Judging Panels**

The judging panel shall consist of at least three individuals. The composition of the judging panel and the role of the presiding judge will be at the discretion of the Regional and Site Coordinators or Executive Director, with the same format used throughout the competition, as follows:

- i. One presiding judge and three scoring jurors (only scoring jurors complete score sheets); or
- ii. One presiding judge and two scoring jurors (all three of whom complete score sheets)

**Rule 5.2.a.** Scoring jurors may be attorneys, advanced law students, or persons with substantial mock trial coaching or scoring experience. Each juror panel shall include at least one attorney. The presiding judge shall be an attorney.

**Rule 5.2.b.** Unless not feasible for reasons beyond the control of the event coordinators, the Regional and State Finals Championship rounds shall have a minimum of 5 scoring jurors.

**Rule 5.2.c.** All presiding judges and scoring jurors will receive case materials and/or bench brief, instructions, and scoring guidelines in advance of the competition. They are also required to attend/watch an orientation session prior to each trial round on the day of the competition.

**Rule 5.3. Scoring and Ballots**

The scoring jurors shall evaluate and score the performance of the witnesses and attorneys in the round. Scoring shall not be based on the merits or outcome of the case in an actual trial. Scoring jurors are not bound by the rulings of the presiding judge.

**Rule 5.3.a.** Scores are to be determined individually and independently by the scoring jurors

using the ballots provided by the competition staff. The ballots are used to record the numerical scores for each performance by a student attorney or witness.

The scores of students playing *witness roles* should be based upon their knowledge of the part, faithfulness to the part, believability of the character, ability to handle cross-examination, and credibility. Witnesses are limited to the information in their sworn affidavits, documents relevant to their testimony, and reasonable inferences. The only recourse for a witness lying or misremembering on the stand is impeachment. Each scoring juror must decide whether a witness's testimony is a reasonable inference or an improper invention of fact which should result in a lower witness score.

The scores of students acting as *attorneys* should be based on the student's mastery of trial techniques, grasp of legal issues, understanding of the role of counsel, advocacy skills, and demeanor. Scoring jurors should consider the effectiveness of the student attorney's representation, taking into account the restrictions on time and materials available to counsel in mock trial.

**Rule 5.3.b.** The team that earns the highest total points on an individual ballot is the winner of that ballot. The team that receives the majority of the three ballots wins the round. The ballot votes determine the win/loss record of the teams for power-matching and ranking purposes.

**Rule 5.3.c.** A separate commenting ballot provides room for brief written comments. The scorers' comments are very important to the students who participate. Being mindful that the participants are high school students and that mock trial is a law-related civic education activity, judges should strive to provide specific, constructive comments to educate the students and advise them on ways to improve their performance.

#### **Rule 5.4. Best Attorney / Best Witness Awards**

The presiding judge designates the students who will receive "Best Witness" and "Best Attorney" awards for each trial. The presiding judge may make this determination alone or after deliberation with the scoring jurors. Certificates are presented to winners during the awards ceremony.

#### **Rule 5.5. Completion of Score Sheets**

Each scoring juror shall record a number of points (1-10) for each presentation in the trial.

**Rule 5.5.a.** Points scored must be whole numbers. A score of zero may not be given unless that presentation did not occur at all (due to lack of time, etc.).

**Rule 5.5.b.** At the end of the trial, each scoring juror shall total each team's individual points and place each sum in the appropriate Total box.

**Rule 5.5.c.** The team with the higher score wins the ballot. TIES ARE NOT ALLOWED!

**Rule 5.5.d.** Finally, each scoring juror shall write and/or circle the winner of the ballot in the Winner's Box. NO TIE IS ALLOWED IN THE COLUMN TOTALS BOXES!

**Rule 5.5.e.** If a scoring juror's tabulation of points is found to be incorrect, and when corrected, the teams' scores are tied, NCMTP scoring staff will attempt to contact the judge to adjust the scores to prevent a tie. If the judge cannot be reached, the Winner's Box shall determine the ballot winner.

### **Rule 5.6. Team Advancement**

At the regional competitions, each team will have six separate score sheets from preliminary rounds (three/trial). The two top-ranked teams will compete in the regional final round.

Teams will be ranked based on the following criteria IN THE ORDER LISTED:

- i. Win/Loss Record - the number of rounds won or lost by a team;
- ii. Total Number of Ballots - the number of scoring jurors' ballots a team earned (altogether);
- iii. Total Point Differential across all six ballots -- Point Differential is the difference in total points on each ballot between the two competing teams. It will be a positive number for the team that won the ballot, and a negative number for the team that lost the ballot; and
- iv. Total Number of Points Accumulated in Both Rounds.

### **Rule 5.7. Team Pairings for Round One**

At both Regionals and State Finals, Round One pairings shall be determined by random draw.

**Rule 5.7.a.** At both the *Regional* and *State Finals* competitions, a random method of selection shall determine opponents in Round One. Before Regionals, each team will be randomly assigned a letter identification code (e.g., A – J for a ten-team regional) using an online random letter generator; teams will receive their letter code over email on February 2. Competition matchups shall be as follows: A v B; C v D; E v F; etc., with the first team listed arguing as Prosecution/Plaintiff in Round One. No protection shall be provided for teams from the same school at any round of competition. (If a school requests that two of their teams be assigned to the same region, they may compete against each other in Round I, Round II, or the Regional Final. If a school wishes to guarantee that their teams will not compete against each other in regional competition, please select different regions for each team.)

### **Rule 5.8 Power Matching**

Power matching shall be used at the Regional Competition to determine pairings for Round 2 and at the State Finals Competition to determine pairings for Rounds 2 and 3.

**Rule 5.8.a.** Power matching shall be conducted as follows at Regionals:

- i. Results from Round One will be entered in the automated Tabulation system;
- ii. Teams will be sorted into brackets based on win/loss record. Sorting within brackets will be determined by the following: (1) win/loss record; (2) ballots won; (3) point differential; (4) alphabetical order (team code that comes first in the alphabet is ranked higher).
- iii. Teams shall be paired against opponents in the same bracket, with the following caveats:
  - a. Teams will not meet the same opponent twice; and
  - b. All teams are guaranteed to present each side of the case at least once. Teams shall alternate sides in Round 2 even if brackets must be “broken” in order to create pairings.
- iv. When pairing teams in the same bracket, the highest ranked team in the bracket shall be paired with the lowest ranked team in the bracket, the next highest with the next lowest, and so on until all teams in the bracket are paired (“high-low”);

- v. When pairing teams in different brackets, teams shall be paired “high-high” rather than “high-low.”

**Rule 5.8.b.** Power matching shall be conducted as follows at State Finals:

- i. Results from Round One will be entered in the automated Tabulation system;
- ii. To pair Round 2, teams will be sorted into brackets based on win/loss record. Sorting within brackets will be determined by the following: (1) win/loss record; (2) ballots won; (3) point differential; (4) alphabetical order (team code that comes first in the alphabet is ranked higher).
- iii. Teams shall be paired against opponents in the same bracket, with the following caveats:
  - a. Teams will not meet the same opponent twice; and
  - b. All teams are guaranteed to present each side of the case at least once. Teams shall alternate sides in Round 2 even if brackets must be “broken” in order to create pairings.
- iv. When pairing teams in the same bracket, the highest ranked team in the bracket shall be paired with the lowest ranked team in the bracket, the next highest with the next lowest, and so on until all teams in the bracket are paired (“high-low”);
- v. When pairing teams in different brackets, teams shall be paired “high-high” rather than “high-low.”
- vi. After Round 2, results will be entered in the automated Tabulation system.
- vii. To pair Round 3, teams will be sorted into two brackets based on aggregate scores. Sorting within brackets will be determined using the same criteria as in Rule 5.8.b.ii.
- viii. Teams shall be paired against opponents in the same bracket, with the following caveat:
  - a. Teams will not meet the same opponent twice.
- ix. When pairing teams in the same bracket, the highest ranked team in the bracket shall be paired with the lowest team in the bracket, the next highest with the next lowest, and so on until all teams in the bracket are paired (“high-low”), with the following caveat:
  - a. If a bracket contains an odd number of teams, the lowest team in the bracket shall first be paired against the highest team in the bracket below.
  - b. Then the highest team in the original bracket shall be paired against the team next lowest in the bracket and so on until all teams in the bracket are paired.
  - c. The same procedure shall be followed for pairing teams in all three brackets.
- x. After all team pairings are determined, the team with the letter code closest to “A” shall argue for the prosecution/plaintiff, and the team with the letter code closest to “Z” shall argue for the defense.

### **Rule 5.9. Championship Round**

**Rule 5.9.a.** At Regional and State Finals competition, the two teams emerging with the strongest record from the preliminary rounds will advance to the championship round.

The procedure to determine sides for the Championship Round is as follows:

- i. A designee of the Regional or State Finals Coordinator shall toss the coin. The team with

the letter code closer to “A” shall win the toss if the coin lands heads-up; the team with the letter code closer to “Z” shall win the toss if the coin lands tails-up.

- ii. The team that wins the coin toss shall choose whether to represent the plaintiff/prosecution or the defendant/defense.

**Rule 5.9.b.** The ballot results from the championship round shall determine the champion.

**Rule 5.10. Odd Number of Teams**

A bye round becomes necessary when an odd number of teams is present at a Regional or State Finals competition. If feasible, the NCMTP will reassign teams at Regional competition to avoid bye rounds.

**Rule 5.10.a.** If an odd number of teams are competing, the following procedure shall apply:

- i. One team will sit out during Round 1. That team will be provided a place to practice and bye at NCMTP’s expense during Round 1 and will then argue for the prosecution/plaintiff during the bye round.
- ii. Team A will argue for the prosecution/plaintiff in Round 1 and will argue for the defense during the bye round.
- iii. Team A will sit out during Round 2 and may leave the competition site to eat their bye during that time.
- iv. The bye round prosecution/plaintiff team will argue for the defense during Round 2.
- v. In a 3-round State Finals competition, the process outlined above (i-iv) will be followed, with the 'bye round' taking place immediately after the conclusion of Round I on Friday afternoon. The team with the lowest ranking after Rounds I and II at the State Finals, according to the scoring criteria of Rule 5.8.b.ii, will not compete in Round III.

**Rule 5.10.b.** The NCMTP Board and/or other designated competition officials have the discretion to handle bye rounds as the Board feels best achieves fairness.

**Rule 5.11. Emergency on the Day of Competition**

In the event of an emergency (such as an unexpected medical issue) that would cause a team to be unable to continue a trial or to participate with fewer than six members, the team must notify the NCMTP Regional Coordinator as soon as reasonably practical. The NCMTP Regional Coordinator shall communicate with the Executive Director and NCMTP Board members if feasible. If the NCMTP Regional Coordinator, or designee(s), in his or her sole discretion, agrees that an emergency exists, the NCMTP Regional Coordinator, or designee(s), shall declare an emergency and decide whether the team will forfeit or may direct that the team take appropriate measures to continue any trial round with less than six members. A penalty may be assessed.

**Rule 5.11.a.** A forfeiting team shall receive a loss and points totaling the average number of ballots and points received by the losing teams in that round (all decimal values shall be truncated/dropped). The non-forfeiting team shall receive a win and points totaling the average number of ballots and points received by the winning teams in that round (all decimal values shall be rounded per normal mathematical procedures).

**Rule 5.11.b.** Final determination of emergency, forfeiture, reduction of points, or advancement shall be made by the NCMTP Regional Coordinator, Board, Executive Director, and/or designated competition official.

**Rule 5.11.c. Snow.** If snow on Friday evening or Saturday morning makes travel to Regionals

unsafe, the Executive Director will send email notice to teams' teacher coaches regarding whether the regional event has been postponed, delayed or moved virtually.

**Rule 5.11.d. Covid-19 Safety.** During this era of the COVID-19 pandemic, the NCMTP will adhere to any order stipulated by the North Carolina Governor regarding stay-at-home orders or gathering size limitations. The 2022 regional and state final competition will be moved online (and students will be provided with online competition rules) if that becomes necessary. During the 2022 in-person competition, the NCMTP requires all teams to bring hand sanitizer for their use throughout the day; other precautions will be detailed separately.

## F. DISPUTE RESOLUTION

### **Rule 6.1. Reporting a Rules Violation / Inside the Bar**

Disputes that occur within the bar must be brought to the attention of the presiding judge *immediately* at the conclusion of the trial after closing arguments and *before* the judging panel 'leaves' the online courtroom to complete their ballots. Filing such a dispute should not be taken lightly; teams should do so only if they have reason to believe that a substantial rules violation has occurred. Teams that file frivolous claims are in violation of Rule 1.2.b and may face sanctions if it is determined that the team has knowingly and without justification filed a dispute in order to impugn the reputation or integrity of the opposing team.

**Rule 6.1.a.** If any team believes that a substantial rules violation has occurred, one of its student attorneys must stand and indicate that the team intends to file a dispute. The scoring jurors will be excused from the 'courtroom'. The student may communicate *only* with other student attorney and/or student witness team members participating in that round before lodging the notice of dispute or in preparing to file the dispute.

**Rule 6.1.b.** At no time in this process may team advisors, observers, or coaches communicate or consult with the student attorneys. Only student attorneys may invoke the dispute procedure.

### **Rule 6.2. Dispute Resolution Procedure**

The presiding judge will review the written dispute and determine whether the dispute should be heard or immediately denied.

**Rule 6.2.a.** If the dispute is denied, the judge will record the reasons, announce her/his decision to the Court, retire to complete her/his ballot (if applicable), and turn the dispute form in with the ballots. If the presiding judge has reason to believe that the dispute was a deliberate attempt to impugn the reputation or integrity of the opposing team, the presiding judge shall also inform the Regional or Site Coordinator of their grounds for such a belief when turning in the dispute form. A dispute resolution panel will be convened to assess the claim, following the procedures outlined in Rule 6.4.a(a-d) and 6.4.b in order to determine whether any sanctions are warranted.

**Rule 6.2.b.** If the judge feels the grounds for the dispute merit a hearing, the form will be shown to opposing counsel for their written response.

**Rule 6.2.c.** After the opposing team has recorded its response and transmitted it to the judge, the judge will ask each team to designate a spokesperson. The spokespersons will be given up to three minutes to prepare their arguments; the judge then will conduct the dispute hearing, providing each team's spokesperson three minutes for a presentation. The judge may question the spokespersons.



**Rule 6.2.d.** At no time in this process may team advisors, observers, or coaches communicate or consult with the student attorneys.

**Rule 6.2.e.** After the hearing, the presiding judge will adjourn the court and retire to consider her/his ruling on the dispute. That decision will be recorded in writing on the dispute form, with no further announcement.

**Rule 6.3. Effect of Violation on Score**

If the presiding judge determines that a substantial rules violation has occurred, the judge will inform the scoring jurors of the dispute and provide a summary of each team's argument. The scoring jurors will consider the dispute before reaching their final decisions. The dispute may or may not affect the final decision, as the matter will be left to the discretion of the scoring jurors.

**Rule 6.4. Reporting a Rules Violation / Outside the Bar**

Only *during* a trial round may a team or attorney-coach report on any disputes that occur outside the bar. Such disputes must be made promptly to a Regional or Site Coordinator, who will ask the complaining party to complete a dispute form.

**Rule 6.4.a.** The form shall be taken to the tournament's communication center, whereupon a dispute resolution panel shall (a) notify all pertinent parties; (b) allow time for a response, if appropriate; (c) conduct a hearing; and (d) rule on the charge. The dispute resolution panel may notify the judging panel of the affected courtroom of the ruling on the charge or may assess an appropriate penalty.

**Rule 6.4.b.** The dispute resolution panel shall be composed of representatives of the NCMTTP Board and other competition officials.

**G. COURTROOM ARTIST COMPETITION - ONLINE**

**Rule 7.1. Release**

All participants agree to the publication or reprinting of their artwork for educational purposes.

**Rule 7.2. Eligibility**

A school fielding multiple mock trial teams can register one student per team. Schools not fielding a mock trial team can not register a courtroom artist competitor.

**Rule 7.3. Procedure**

~~7.3.a Contestants must wear their team's code name badge at all times.~~

**7.3.b** Team leaders are asked to introduce their courtroom artist when they introduce themselves to the scoring jurors, say your artist's name. Do not say what school you are from.

**7.3.c** Contestants must remain with their team and observe/sketch in the breakout room where their team is competing. They can use their imagination to sketch a courtroom scene OR they can represent what it's like to conduct trial by Zoom.

~~7.3.d Once trial begins, contestants may not move about the courtroom.~~

**7.3.e** Artists may not communicate, either verbally or non-verbally, with any member of the Mock Trial teams or any visitors in the courtroom during the trial. ~~It is at the presiding judge's discretion where the artist will be able to sit. Contestants may be allowed to sit in the jury box, but ONLY if they can be positioned where they cannot see the jurors' scoring sheets.~~

#### **Rule 7.4 Timing**

**7.4.a** Contestants must complete their initial sketching during Round 1 of competition.

**7.4.b** Contestants must send a photo of their completed artwork via email to [liz@ncmocktrial.org](mailto:liz@ncmocktrial.org) by 3pm. Courtroom contest winners will be announced on social media on Sunday, Feb 6. ~~the Site Coordinator or their designee located at the Information Table by 2:00 pm.~~

#### **Rule 7.5 Drawing Parameters**

**7.5.a** The art submission may be done in color or in black and white.

**7.5.b** The drawing must be on paper of the dimensions 11" X 14".

**7.5.c** The drawing may be done in any of the following mediums: Color pencil, pen and ink, pastel, marker. No watercolors or paint are allowed.

**7.5.d** ~~The art submission must have the artist's name and team code placed on the back of the sketch;~~ no signatures on the front of the submission are allowed.

#### **Rule 7.6. Judging and Advancement**

**7.6.a** Sketches are evaluated and scored anonymously by a judge or judging team.

**7.6.b** The results of the regional Courtroom Artist competition will be announced on social media on Sunday, Feb 6.

**7.6.c** The regional winner will be invited to attend and compete at State Finals for a chance to travel to the National Competition. At the State Finals Competition, contestants will be assigned a team to sketch; if the artist's school advances to State Finals, they will be assigned to sketch their school's team. Contestants will be able to observe/make preliminary sketches during Round 1 and Round 2. They will need to submit their work to the information desk at the end of the first day of competition; they will pick up their artwork at the start of day 2. Immediately following Round 3, contestants will need to submit their finished work to the Courtroom Liaison. Judges will use the same criteria employed during the Regional competition to judge the State Final entries. Results will be announced at the Awards Ceremony.

**7.6.d Art Entries.** ~~All Regional art entries will be photographed by Site Coordinators before the end of the Regional competition and returned to the student to take home.~~

# NCMTP RULES OF EVIDENCE AND PROCEDURE

## INTRODUCTION

*In American trials, complex rules are used to govern the admission of proof (i.e., oral or physical evidence). These rules are designed to ensure that all parties receive a fair hearing and to exclude evidence deemed irrelevant, incompetent, untrustworthy, unduly prejudicial, or otherwise improper. If it appears that a rule of evidence is being violated, an attorney may raise an objection to the judge. The judge then decides whether the rule has been violated and whether the evidence must be excluded from the record of the trial. In the absence of a proper objection, however, the judge will probably allow the evidence. In the NCAJ High School Mock Trial Competition, the burden is on the mock trial team to know the NCMTP Rules of Evidence and Procedure and to use the Rules to protect their client and fairly limit the actions of opposing counsel and their witnesses.*

*For the mock trial competition, some of the Federal Rules of Evidence have been modified or annotated, keeping the Federal Rules' numbering system. Where rule numbers or letters are skipped, those rules were not deemed applicable to mock trial procedure. Most rules are the actual text of the 2019 Federal Rules of Evidence. Text in italics represents modified language.*

*Not all judges will interpret the Rules of Evidence (or procedure) the same way, and mock trial attorneys should be prepared to point out specific rules (quoting, if necessary) and to argue persuasively for the interpretation and application of the rule they think appropriate.*

## **ARTICLE I. GENERAL PROVISIONS**

### **Rule 101. Scope**

*The NCMTP Rules of Evidence and Procedure govern the trial proceedings of the NCAJ High School Mock Trial Competition.*

### **Rule 102. Purpose and Construction**

*These Rules are intended to secure fairness in administration of the trials, eliminate unjust delay, and promote the laws of evidence so that the truth may be ascertained.*

### **Rule 104. Preliminary Questions**

**(a) In General.** The court must decide any preliminary question about whether a witness is qualified, a privilege exists, or evidence is admissible. In so deciding, the court is not bound by evidence rules, except those on privilege.

**(b) Relevance That Depends on a Fact.** When the relevance of evidence depends on whether a fact exists, proof must be introduced sufficient to support a finding that the fact does exist. The court may admit the proposed evidence on the condition that the proof be introduced later.

### **Rule 105. Limiting Evidence That Is Not Admissible Against Other Parties or for Other Purposes**

If the court admits evidence that is admissible against a party or for a purpose — but not against another party or for another purpose — the court, on timely request, must restrict the evidence to its proper scope and instruct the jury accordingly.

## **ARTICLE II. JUDICIAL NOTICE**

*Not Applicable.*

## **ARTICLE III. PRESUMPTIONS IN CIVIL CASES**

*Not Applicable.*

## **ARTICLE IV. RELEVANCE AND ITS LIMITS**

### **Rule 401. Test for Relevant Evidence**

Evidence is relevant if:

- (a) it has any tendency to make a fact more or less probable than it would be without the evidence; and
- (b) the fact is of consequence in determining the action.

### **Rule 402. General Admissibility of Relevant Evidence**

Relevant evidence is admissible, *except as otherwise provided in these Rules*. Irrelevant evidence is not admissible.

### **Rule 403. Excluding Relevant Evidence for Prejudice, Confusion, Waste of Time, or Other Reasons**

The court may exclude relevant evidence if its probative value is substantially outweighed by a danger of one or more of the following: unfair prejudice, confusing the issues, misleading the jury, undue delay, wasting time, or needlessly presenting cumulative evidence.

### **Rule 404. Character Evidence; Crimes or Other Acts**

#### **(a) Character Evidence.**

(1) **Prohibited Uses.** Evidence of a person's character or character trait is not admissible to prove that on a particular occasion the person acted in accordance with the character or trait.

(2) **Exceptions for a Defendant or Victim in a Criminal Case.** The following exceptions apply in a criminal case:

(A) A defendant may offer evidence of the defendant's pertinent trait, and if the evidence is admitted, the prosecutor may offer evidence to rebut it;

(B) A defendant may offer evidence of an alleged victim's pertinent trait, and if the evidence is admitted, the prosecutor may:

(i) offer evidence to rebut it; and

(ii) offer evidence of the defendant's same trait; and

(C) In a homicide case, the prosecutor may offer evidence of the alleged victim's trait of peacefulness to rebut evidence that the victim was the first aggressor.

(3) **Exceptions for a Witness.** Evidence of a witness's character may be admitted under Rules 607, 608 and 609.

#### **(b) Other Crimes, Wrongs, or Other Acts.**

(1) **Prohibited Uses.** Evidence of a crime, wrong, or other act is not admissible to prove a person's character in order to show that on a particular occasion the person acted in accordance with the character.

(2) **Permitted Uses; Notice in a Criminal Case.** This evidence may be admissible for another

purpose, such as proving motive, opportunity, intent, preparation, plan, knowledge, identity, absence of mistake, or lack of accident. On request by a defendant in a criminal case, the prosecutor must:

(A) provide reasonable notice of the general nature of any such evidence that the prosecutor intends to offer at trial; and

(B) do so before trial – or during trial if the court, for good cause, excuses lack of pretrial notice.

#### **Rule 405. Methods of Proving Character**

(a) **By Reputation or Opinion.** When evidence of a person's character or character trait is admissible, it may be proved by testimony about the person's reputation or by testimony in the form of an opinion. On cross-examination, *questions may be asked regarding relevant, specific conduct.*

(b) **By Specific Instances of Conduct.** When a person's character or character trait is an essential element of a charge, claim, or defense, the character or trait may also be proved by relevant specific instances of the person's conduct.

#### **Rule 406. Habit; Routine Practice**

Evidence of a person's habit or an organization's routine practice may be admitted to prove that on a particular occasion the person or organization acted in accordance with the habit or routine practice. The court may admit this evidence regardless of whether it is corroborated or whether there was an eyewitness.

#### **Rule 407. Subsequent Remedial Measures**

When measures are taken that would have made an earlier injury or harm less likely to occur, evidence of the subsequent measures is not admissible to prove:

- negligence;
- culpable conduct;
- a defect in a product or its design; or
- a need for a warning or instruction.

But the court may admit this evidence for another purpose, such as impeachment or – if disputed – proving ownership, control, or the feasibility of precautionary measures.

#### **Rule 408. Compromise Offers and Negotiations**

(a) **Prohibited Uses.** Evidence of the following is not admissible – on behalf of any party – either to prove or disprove the validity or amount of a disputed claim or to impeach by a prior inconsistent statement or a contradiction:

- (1) furnishing, promising, or offering – or accepting, promising to accept, or offering to accept – a valuable consideration in compromising or attempting to compromise the claim; and
- (2) conduct or a statement made during compromise negotiations about the claim.

(b) **Exceptions.** The court may admit this evidence for another purpose, such as proving a witness's bias or prejudice.

#### **Rule 409. Offers to Pay Medical and Similar Expenses**

Evidence of furnishing, promising to pay, or offering to pay medical, hospital, or similar expenses resulting from an injury is not admissible to prove liability for the injury.

#### **Rule 410. Pleas, Plea Discussions, and Related Statements**

**(a) Prohibited Uses.** In a civil or criminal case, evidence of the following is not admissible against the defendant who made the plea or participated in the plea discussions:

- (1) a guilty plea that was later withdrawn;
- (2) a nolo contendere plea;
- (3) a statement made during a proceeding on either of those pleas under Federal Rule of Criminal Procedure 11 or a comparable state procedure; or
- (4) a statement made during plea discussions with an attorney for the prosecuting authority if the discussions did not result in a guilty plea or they resulted in a later-withdrawn guilty plea.

**(b) Exceptions.** The court may admit a statement described in Rule 410(a)(3) or (4):

- (1) in any proceeding in which another statement made during the same plea or plea discussions has been introduced, if in fairness the statements ought to be considered together; or
- (2) in a criminal proceeding for perjury or false statement, if the defendant made the statement under oath, on the record, and with counsel present.

#### **Rule 411. Liability Insurance**

Evidence that a person was or was not insured against liability is not admissible to prove whether the person acted negligently or otherwise wrongfully. But the court may admit this evidence for another purpose, such as proving a witness's bias or prejudice or proving agency, ownership, or control.

### **ARTICLE V. PRIVILEGES**

#### **Rule 501. Privilege in General**

*Except as otherwise provided by State Law, there are certain admissions and communications excluded from evidence on grounds of public policy.*

*Among these are:*

- (1) communications between husband and wife;*
- (2) communications between attorney and client;*
- (3) communications among members of a Grand Jury;*
- (4) secrets of state; and*
- (5) communications between psychiatrist and patient.*

### **ARTICLE VI. WITNESSES**

#### **Rule 601. Competency to Testify in General**

Every person is competent to be a witness.

#### **Rule 602. Need for Personal Knowledge**

A witness may testify to a matter only if evidence is introduced sufficient to support a finding that the witness has personal knowledge of the matter. Evidence to prove personal knowledge may consist of the witness's own testimony. This rule does not apply to a witness's expert testimony under Rule 703.

#### **Rule 607. Who May Impeach a Witness**

Any party, including the party that called the witness, may attack the witness's credibility.

#### **Rule 608. A Witness's Character for Truthfulness or Untruthfulness**

**(a) Reputation or Opinion Evidence.** A witness's credibility may be attacked or supported by testimony about the witness's reputation for having a character for truthfulness or untruthfulness, or by

testimony in the form of an opinion about that character. But evidence of truthful character is admissible only after the witness's character for truthfulness has been attacked.

**(b) Specific Instances of Conduct.** Except for a criminal conviction under Rule 609, extrinsic evidence is not admissible to prove specific instances of a witness's conduct in order to attack or support the witness's character for truthfulness. But the court may, on cross-examination, allow them to be inquired into if they are probative of the character for truthfulness or untruthfulness of:

(1) the witness; or

(2) another witness whose character the witness being cross-examined has testified about.

By testifying on another matter, a witness does not waive any privilege against self-incrimination for testimony that relates only to the witness's character for truthfulness.

### **Rule 609. Impeachment by Evidence of a Criminal Conviction**

**(a) In General.** The following rules apply to attacking a witness's character for truthfulness by evidence of a criminal conviction:

(1) for a crime that, in the convicting jurisdiction, was punishable by death or by imprisonment for more than one year, the evidence:

(A) must be admitted, subject to Rule 403, in a civil case or in a criminal case in which the witness is not a defendant; and

(B) must be admitted in a criminal case in which the witness is a defendant, if the probative value of the evidence outweighs its prejudicial effect to that defendant; and

(2) for any crime regardless of the punishment, the evidence must be admitted if the court can readily determine that establishing the elements of the crime required proving — or the witness's admitting— a dishonest act or false statement.

**(b) Limit on Using the Evidence After 10 Years.** This subdivision (b) applies if more than 10 years have passed since the witness's conviction or release from confinement for it, whichever is later.

Evidence of the conviction is admissible only if:

(1) its probative value, supported by specific facts and circumstances, substantially outweighs its prejudicial effect; and

(2) *Not applicable.*

**(d) Juvenile Adjudications.** Evidence of juvenile adjudications is generally not admissible under this rule. The court may, however, in a criminal case allow evidence of a juvenile adjudication of a witness other than the accused if conviction of the offense would be admissible to attack the credibility of an adult and the court is satisfied that admission in evidence is necessary for a fair determination of the issue of guilt or innocence.

### **Rule 610. Religious Beliefs or Opinions**

Evidence of a witness's religious beliefs or opinions is not admissible to attack or support the witness's credibility.

### **Rule 611. Mode and Order of Examining Witnesses and Presenting Evidence**

**(a) Control by the Court; Purposes.** The court should exercise reasonable control over the mode and order of examining witnesses and presenting evidence so as to:

(1) make those procedures effective for determining the truth;

(2) avoid wasting time; and

(3) protect witnesses from harassment or undue embarrassment.

**(b) Scope of cross-examination.** *The scope of cross-examination shall not be limited to the scope of the direct examination, but may inquire into any relevant facts or matters contained in the witness's statement, including all reasonable inferences that can be drawn from those facts and matters, and may inquire into any omissions from the witness statement that are otherwise material and admissible.*

**(c) Leading questions.** Leading questions should not be used on direct examination except as necessary to develop the witness's testimony. Ordinarily, the court should allow leading questions:

- (1) on cross-examination; and
- (2) when a party calls a hostile witness, an adverse party, or a witness identified with an adverse party.

**(d) Redirect/Re-cross.** *After cross-examination, additional questions may be asked by the direct examining attorney, but questions must be limited to matters raised by the other attorney on cross examination. Likewise, additional questions may be asked by the cross examining attorney on re-cross, but such questions must be limited to matters raised on redirect and should avoid repetition.*

**(e) Permitted Motions.** *The only motion permissible is one requesting the judge to strike testimony following a successful objection to its admission or a motion to qualify a witness as an expert in a specified field pursuant to Rule 702.*

#### **Rule 612. Writing Used to Refresh a Witness's Memory**

*If a written statement is used to refresh the memory of a witness either while or before testifying, the Court shall determine that the adverse party is entitled to have the writing produced for inspection. The adverse party may cross-examine the witness on the material and introduce into evidence those portions that relate to the testimony of the witness.*

#### **Rule 613. Witness's Prior Statement**

**(a) Showing or Disclosing the Statement During Examination.** When examining a witness about the witness's prior statement, a party need not show it or disclose its contents to the witness. But the party must, on request, show it or disclose its contents to an adverse party's attorney.

**(b) Extrinsic Evidence of a Prior Inconsistent Statement.** Extrinsic evidence of a witness's prior inconsistent statement is admissible only if the witness is given an opportunity to explain or deny the statement and an adverse party is given an opportunity to examine the witness about it, or if justice so requires. This subdivision (b) does not apply to an opposing party's statement under Rule 801(d)(2).

### **ARTICLE VII. OPINIONS AND EXPERT TESTIMONY**

#### **Rule 701. Opinion Testimony by Lay Witnesses**

If a witness is not testifying as an expert, testimony in the form of an opinion is limited to one that is:

- (a) rationally based on the witness's perception;
- (b) helpful to clearly understanding the witness's testimony or to determining a fact in issue; and
- (c) not based on scientific, technical, or other specialized knowledge within the scope of Rule 702.

#### **Rule 702. Testimony by Expert Witnesses**

A witness who is qualified as an expert by knowledge, skill, experience, training, or education may testify in the form of an opinion or otherwise if:

- (a) the expert's scientific, technical, or other specialized knowledge will help the trier of fact to understand the evidence or to determine a fact in issue;



- (b) the testimony is based on sufficient facts or data .
- (c) the testimony is the product of reliable principles and methods; and
- (d) the expert has reliably applied the principles and methods to the facts of the case.

**Rule 703. Bases of an Expert’s Opinion Testimony**

An expert may base an opinion on facts or data in the case that the expert has been made aware of or personally observed. If experts in the particular field would reasonably rely on those kinds of facts or data in forming an opinion on the subject, they need not be admissible for the opinion to be admitted. But if the facts or data would otherwise be inadmissible, the proponent of the opinion may disclose them to the jury only if their probative value in helping the jury evaluate the opinion substantially outweighs their prejudicial effect.

**Rule 704. Opinion on an Ultimate Issue**

(a) **In General — Not Automatically Objectionable.** An *expert* opinion is not objectionable just because it embraces an ultimate issue *to be decided by the trier of fact*.

(b) **Exception.** In a criminal case, an expert witness must not state an opinion about whether the defendant did or did not have a mental state or condition that constitutes an element of the crime charged or of a defense. Those matters are for the trier of fact alone.

**Rule 705. Disclosing the Facts or Data Underlying an Expert Opinion**

Unless the court orders otherwise, an expert may state an opinion — and give the reasons for it — without first testifying to the underlying facts or data. But the expert may be required to disclose those facts or data on cross-examination.

**ARTICLE VIII. HEARSAY**

**Rule 801. Definitions That Apply to This Article; Exclusions from Hearsay**

The following definitions apply under this article:

(a) **Statement.** “Statement” means a person’s oral assertion, written assertion, or nonverbal conduct, if the person intended it as an assertion.

(b) **Declarant.** “Declarant” means the person who made the statement.

(c) **Hearsay.** “Hearsay” means a statement that:

- (1) the declarant does not make while testifying at the current trial or hearing; and
- (2) a party offers in evidence to prove the truth of the matter asserted in the statement.

(d) **Statements That Are Not Hearsay.** A statement that meets the following conditions is not hearsay:

(1) **A Declarant-Witness’s Prior Statement.** The declarant testifies and is subject to cross-examination about a prior statement, and the statement:

- (A) is inconsistent with the declarant’s testimony and was given under penalty of perjury at a trial, hearing, or other proceeding or in a deposition;
- (B) is consistent with the declarant’s testimony and is offered (i) to rebut an express or implied charge that the declarant recently fabricated it or acted from a recent improper influence or motive in so testifying; or (ii) to rehabilitate the declarant’s credibility as a witness when attacked on another ground; or
- (C) identifies a person as someone the declarant perceived earlier.

- (2) **An Opposing Party's Statement.** The statement is offered against an opposing party and:
- (A) was made by the party in an individual or representative capacity;
  - (B) is one the party manifested that it adopted or believed to be true;
  - (C) was made by a person whom the party authorized to make a statement on the subject;
  - (D) was made by the party's agent or employee on a matter within the scope of that relationship and while it existed; or
  - (E) was made by the party's coconspirator during and in furtherance of the conspiracy.

The statement must be considered but does not by itself establish the declarant's authority under (C); the existence or scope of the relationship under (D); or the existence of the conspiracy or participation in it under (E).

### **Rule 802. The Rule Against Hearsay**

Hearsay is not admissible, *except as provided by these rules.*

### **Rule 803. Exceptions to the Rule Against Hearsay**

The following are not excluded by the rule against hearsay, regardless of whether the declarant is available as a witness:

- (1) **Present Sense Impression.** A statement describing or explaining an event or condition, made while or immediately after the declarant perceived it.
- (2) **Excited Utterance.** A statement relating to a startling event or condition, made while the declarant was under the stress of excitement that it caused.
- (3) **Then-Existing Mental, Emotional, or Physical Condition.** A statement of the declarant's then-existing state of mind (such as motive, intent, or plan) or emotional, sensory, or physical condition (such as mental feeling, pain, or bodily health), but not including a statement of memory or belief to prove the fact remembered or believed unless it relates to the validity or terms of the declarant's will.
- (4) **Statement Made for Medical Diagnosis or Treatment.** A statement *by a patient* that:
  - (A) is made for — and is reasonably pertinent to — medical diagnosis or treatment; and
  - (B) describes medical history; past or present symptoms or sensations; their inception; or their general cause.
- (5) **Recorded Recollection.** A record that:
  - (A) is on a matter the witness once knew about but now cannot recall well enough to testify fully and accurately;
  - (B) was made by the witness when the matter was fresh in the witness's memory; and
  - (C) accurately reflects the witness's knowledge.

If admitted, the record may be read into evidence but may be received as an exhibit only if offered by an adverse party.

- (6) **Records of a Regularly Conducted Activity.** A record of an act, event, condition, opinion, or diagnosis if:
  - (A) the record was made at or near the time by — or from information transmitted by — someone with knowledge;
  - (B) the record was kept in the course of a regularly conducted activity of a business, organization, occupation, or calling, whether or not for profit;
  - (C) making the record was a regular practice of that activity;

(D) all these conditions are shown by the testimony of the custodian or another qualified witness; and

(E) the opponent does not show that the source of information or the method or circumstances of preparation indicate a lack of trustworthiness.

**(8) Public Records.** A record or statement of a public office if:

(A) it sets out:

(i) the office's activities;

(ii) a matter observed while under a legal duty to report, but not including, in a criminal case, a matter observed by law-enforcement personnel; or

(iii) in a civil case or against the government in a criminal case, factual findings from a legally authorized investigation; and

(B) the opponent does not show that source of information or other circumstances indicate a lack of trustworthiness.

**(18) Statements in Learned Treatises, Periodicals, or Pamphlets.** A statement contained in a treatise, periodical, or pamphlet if:

(A) the statement is called to the attention of an expert witness on cross-examination or relied on by the expert on direct examination; and

(B) the publication is established as a reliable authority by the expert's admission or testimony, by another expert's testimony, or by judicial notice.

If admitted, the statement may be read into evidence but not received as an exhibit.

**(21) Reputation Concerning Character.** A reputation among a person's associates or in the community concerning the person's character.

#### **Rule 804. Hearsay Exceptions, Declarant Unavailable**

**(a) Criteria for Being Unavailable.** A declarant is considered to be unavailable as a witness if the declarant:

(1) is exempted from testifying about the subject matter of the declarant's statement because the court rules that a privilege applies;

(2) refuses to testify about the subject matter despite a court order to do so;

(3) testifies to not remembering the subject matter;

(4) cannot be present or testify at the trial or hearing because of death or a then-existing infirmity, physical illness, or mental illness; or

(5) is absent from the trial or hearing and the statement's proponent has not been able, by process or other reasonable means, to procure:

(A) the declarant's attendance, in the case of a hearsay exception under Rule 804(b)(1) or 804(b)(6); or

(B) the declarant's attendance or testimony, in the case of a hearsay exception under Rule 804(b)(2), (3), or (4).

But this subdivision (a) does not apply if the statement's proponent procured or wrongfully caused the declarant's unavailability as a witness in order to prevent the declarant from testifying.

**(b) The Exceptions.** The following are not excluded by the rule against hearsay if the declarant is unavailable as a witness:

**(1) Former Testimony.** Testimony that:

(A) was given as a witness at a trial, hearing, or lawful deposition, whether given during the current proceeding or a different one; and

(B) is now offered against a party who had — or, in a civil case, whose predecessor in interest had — an opportunity and similar motive to develop it by direct, cross-, or redirect examination.

**(2) Statement Under the Belief of Imminent Death.** In a prosecution for homicide or in a civil case, a statement that the declarant, while believing the declarant’s death to be imminent, made about its cause or circumstances.

**(3) Statement Against Interest.** A statement that:

(A) a reasonable person in the declarant’s position would have made only if the person believed it to be true because, when made, it was so contrary to the declarant’s proprietary or pecuniary interest or had so great a tendency to invalidate the declarant’s claim against someone else or to expose the declarant to civil or criminal liability; and

(B) is supported by corroborating circumstances that clearly indicate its trustworthiness, if it is offered in a criminal case as one that tends to expose the declarant to criminal liability.

**(4) Statement of Personal or Family History.** A statement about:

(A) the declarant’s own birth, adoption, legitimacy, ancestry, marriage, divorce, relationship by blood, adoption, or marriage, or similar facts of personal or family history, even though the declarant had no way of acquiring personal knowledge about that fact; or

(B) another person concerning any of these facts, as well as death, if the declarant was related to the person by blood, adoption, or marriage or was so intimately associated with the person’s family that the declarant’s information is likely to be accurate.

**(6) Statement Offered Against a Party That Wrongfully Caused the Declarant’s Unavailability.** A statement offered against a party that wrongfully caused – or acquiesced in wrongfully causing – the declarant’s unavailability as a witness, and did so intending that result.

### **Rule 805. Hearsay within Hearsay**

Hearsay within hearsay is not excluded by the rule against hearsay if each part of the combined statements conforms with an exception to the rule.

### **Rule 806. Attacking and Supporting the Declarant’s Credibility**

When a hearsay statement — or a statement described in Rule 801(d)(2)(C), (D), or (E) — has been admitted in evidence, the declarant’s credibility may be attacked, and then supported, by any evidence that would be admissible for those purposes if the declarant had testified as a witness. The court may admit evidence of the declarant’s inconsistent statement or conduct, regardless of when it occurred or whether the declarant had an opportunity to explain or deny it. If the party against whom the statement was admitted calls the declarant as a witness, the party may examine the declarant on the statement as if on cross-examination.

### **Rule 807. Residual Exception**

Under the following conditions, a hearsay statement is not excluded by the rule against hearsay even if the statement is not admissible under a hearsay exception in Rule 803 or 804:

(1) the statement is supported by sufficient guarantees of trustworthiness—after considering the totality of circumstances under which it was made and evidence, if any, corroborating the statement; and

(2) it is more probative on the point for which it is offered than any other evidence that the proponent can obtain through reasonable efforts

**ARTICLE IX. AUTHENTICATION AND IDENTIFICATION**

*Not applicable.*

**ARTICLE X. CONTENTS OF WRITINGS, RECORDINGS, AND PHOTOGRAPHS**

*Not applicable.*

**ARTICLE IX. MISCELLANEOUS RULES**

**Rule 1103.Title.**

*These rules may be known and cited as the NC Mock Trial Program (NCMTP) Rules of Evidence and Procedure.*



## Regional Competition Schedule

7:50 am	Zoom call opens for all. 2 regions per call. Welcome Address to Teams
8:00 am	Students sent to private team breakout rooms
8:30 am	Judges and Jurors arrive for orientation
8:50 am	Students sent to trial rooms
9:00 am	Judges and Jurors moved into trial   Round I begins
11:15 am	Jurors submit ballots online; then provide verbal feedback
LUNCH BREAK	
12:30 pm	Students return (before 1:00 pm) + sent to private team breakout rooms
12:45 pm	Judges and Jurors arrive for orientation
1:10 pm	Students sent to trial rooms
1:15 pm	Judges and Jurors moved into trial   Round II begins
3:00 pm	Jurors submit ballots online; then provide verbal feedback
4:00 pm	Regional Coordinators Hold Awards Ceremony/Announce Finalists (2 regions) + finalist students moved into trial rooms
4:00 pm	Judges/Jurors orientation in separate breakout room
4:30 pm	Judges and Jurors moved into trials   Final Round begins
6:45 pm	Final Awards Presentation   both regions



TO: All Teachers, Attorneys or Coaches

FROM: Liz Avery-Jones  
NCMTP Executive Director

RE: North Carolina Mock Trial – Release Forms

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Each participant in any NCMTP Mock Trial activity (mock trial or courtroom artist competition) shall be required to submit a signed Release form. Two forms are attached: one for participants under the age of 18 and the other for those participants over age 18. Please make sufficient copies and return the signed forms by the indicated deadline. Thank you.

PERMISSION FOR PARTICIPATION AND RELEASE OF ALL LIABILITY (“Release”)

Due by Thursday, Jan 6, 2022

I, the undersigned, am the lawful guardian of \_\_\_\_\_ (*please print full name of participant < age 18*), a student at \_\_\_\_\_ (*print full name of school*) located in \_\_\_\_\_ (*city*), North Carolina.

I am informed that the North Carolina Mock Trial Program (“NCMTP”), a 501(c)(3) non-profit, sponsors certain activities which include, but are not limited to, regional and state mock trial competitions to be held in the State of North Carolina, summer camps, and scholarship competitions. In addition, the NCMTP provides sponsorship to the team winning the N.C. statewide competition so that it might attend a national competition. This Release extends to all activities of the NCMTP including, but not limited to, the above-named child’s preparation for, travel to and from, and participation in any of the aforementioned activities. By my signature below, I agree to the participation of the above-named child in any activity which is any way connected with the NCMTP, understanding that the above-named child is at a higher risk of contracting Covid-19 in larger gatherings.

IN CONSIDERATION of the voluntary participation of the above named child in the activities of the NCMTP, I, for myself, my spouse, and the above named child, our respective heirs, executors, administrators, successors and assigns, hereby agree to release the NCMTP from any and all liability whatsoever arising from my participation in any activity of the NCMTP and further agree to release, indemnify and hold harmless, the NCMTP from any and all claims, damages, expenses, or costs whatsoever arising from my participation in any activity of the NCMTP.

As used in this Release, the term “NCMTP” refers to the 501(c)(3) organization, any member of the NCMTP Board of Directors or Executive Committee, any paid NCMTP employees, and persons assisting the NCMTP for any activity in any way connected with the NCMTP.

By my signature below, I also agree, on behalf of said child, that any scholarship essay or other essay (or courtroom artist submission) prepared by such child and submitted to the NCMTP shall become the sole and exclusive property of the NCMTP and may be used by the NCMTP in any manner it deems appropriate.

I further agree, on behalf of said child, to give full rights to the NCMTP and its agents to freely use, reproduce, distribute, broadcast or transmit via any media (including the Internet and social network) said child’s image or video recorded in connection with the abovementioned NCMTP activities for purposes of education or publicity. This permission extends to the granting by the NCMTP of rights to third parties or news media for the use of those images in perpetuity. I acknowledge that I have read this Release in its entirety and that I fully understand and agree to all its terms.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Signature of Lawful Guardian



PERMISSION FOR PARTICIPATION AND RELEASE OF ALL LIABILITY (“Release”)  
**Due by Thursday, Jan 6, 2022**

I, \_\_\_\_\_ (*please print full name*) the undersigned, am 18 years of age or older. I am a student at \_\_\_\_\_ (*print full name of school*) located in \_\_\_\_\_ (*city*), North Carolina.

I am informed that the North Carolina Mock Trial Program (“NCMTP”), a 501(c)(3) non-profit, sponsors certain activities which include, but are not limited to, regional and state mock trial competitions to be held in the State of North Carolina, summer camps, and scholarship competitions. In addition, the NCMTP provides sponsorship to the team winning the N.C. statewide competition so that it might attend a national competition. This Release extends to all activities of the NCMTP including, but not limited to, my preparation for, travel to and from, and participation in any of the aforementioned activities, understanding that I am at a higher risk of contracting Covid-19 in larger gatherings.

IN CONSIDERATION of my voluntary participation in the activities of the NCMTP, I, for myself, my heirs, executors, administrators, successors and assigns, hereby agree to release the NCMTP from any and all liability whatsoever arising from my participation in any activity of the NCMTP and further agree to release, indemnify and hold harmless, the NCMTP from any and all claims, damages, expenses, or costs whatsoever arising from my participation in any activity of the NCMTP.

As used in this Release, the term “NCMTP” refers to the 501(c)(3) organization, any member of the NCMTP Board of Directors or Executive Committee, any paid NCMTP employees, and persons assisting the NCMTP for any activity in any way connected with the NCMTP.

By my signature below, I also agree that any scholarship essay or other essay (or courtroom artist submission) prepared by me and submitted to the NCMTP shall become the sole and exclusive property of the NCMTP and may be used by the NCMTP in any manner it deems appropriate.

I further agree to give full rights to the NCMTP and its agents to freely use, reproduce, distribute, broadcast or transmit via any media (including the Internet and social network) my image or video recorded in connection with the abovementioned NCMTP activities for purposes of education or publicity. This permission extends to the granting by the NCMTP of rights to third parties or news media for the use of those images in perpetuity. I acknowledge that I have read this Release in its entirety and that I fully understand and agree to all its terms.

Dated: \_\_\_\_\_

\_\_\_\_\_  
Signature (IF AGE 18 OR OLDER)



## **North Carolina Mock Trial Program Code of Ethics Form**

**(this version is for instructional purposes only; teacher coaches are asked to visit [ncmocktrial.org](http://ncmocktrial.org) to sign and submit this form electronically)**

I, \_\_\_\_\_ (*print name*), teacher-sponsor of the \_\_\_\_\_ High School Mock Trial team(s), do hereby affirm that I have provided a copy and explained the following points to the team participants (students, assistant teacher coaches, and attorney advisors) and have asked them to communicate the same to any family or friends who will be observers at the Mock Trial competition:

1. The Rules of the Competition and this Code of Ethical Conduct will be followed by all participants in the NCAJ High School Mock Trial Competition. We understand that a violation of the Code by any one of the members of this team may result in sanctions including, but not limited to, the team's immediate eviction from the competition, suspension from competing in future competitions, and forfeiture of all fees and awards (if applicable).
2. Team members, coaches and other observers promise to participate in all events associated with the NCAJ High School Mock Trial Competition with the highest standards of professionalism, both inside and outside the courtroom. All participants and observers promise to show respect for their fellow team members, opponents, coaches, judging panel volunteers, competition volunteers, competition staff, and courthouse personnel.
3. Displays of bad sportsmanship at any time during the competition are prohibited. Whether winning or losing, team members, coaches and all other participants are expected to be gracious and supportive of other teams. Coaches will lead by example.
4. No team member, coach or other observer associated with the team signing this Code is allowed to enter any courtroom in which their team is not competing (no "scouting").
5. NO communication may take place between team members and coaches/observers during trial. It is imperative that all teams and observers avoid any appearance of impropriety, both during in-person or online competition (if applicable).
6. Teams will respect their surroundings, follow all published courthouse regulations, use appropriate receptacles for all trash, leave restrooms and all other court and common areas in good order, and will otherwise behave as young professionals.
7. The use of alcohol, drugs and weapons is forbidden in course of the competition, both at all competition sites and all mock trial sponsored events.

BY MY SIGNATURE, I AFFIRM THAT WE, THE MOCK TRIAL TEAM(S) OF \_\_\_\_\_ HIGH SCHOOL, DO HEREBY ACKNOWLEDGE THE ABOVE CODE OF ETHICS AND CODE OF CONDUCT, AND AGREE TO ABIDE BY THEM IN BOTH LETTER AND SPIRIT.

Signed: \_\_\_\_\_

Dated: \_\_\_\_\_



## **“M. Gordon Widenhouse Jr. Award” 2021-2022 Scholarship Application**

The “**M. Gordon Widenhouse Jr. Award for Inspirational Team Leadership**” was established to honor the energy and commitment of the High School Mock Trial Competition's first program chairperson. The award’s recipient will not necessarily be a star witness or attorney, but he or she will be the person who held the team together throughout practices and competitions. This scholarship is only open to seniors in high school.

**Team advisors should nominate one student per team.** Nominated students must submit an 1) essay describing his or her mock trial experience and 2) letter of recommendation from a team advisor (teacher or attorney). Recommendations should focus on the student’s leadership abilities and contributions to his or her mock trial team.

An unattached \$1000 scholarship will be awarded to one North Carolina **senior**.

**Print:**

Student’s Full Name \_\_\_\_\_

Date of Birth \_\_\_\_\_ School \_\_\_\_\_

Email Address \_\_\_\_\_

Phone number \_\_\_\_\_

*Note: The student’s email address and phone are required for notification purposes only.*

Applicants should complete this form and attach a 1 to 2 page typed essay addressing the following question: “What have you learned from the mock trial experience? Please address the program’s impact on your leadership abilities.” The letter of recommendation can be sent separately.

**Submit this application, essay and letters of recommendation to [liz@ncmocktrial.org](mailto:liz@ncmocktrial.org) or mail to:  
North Carolina Mock Trial Program, State Office  
Attn: Executive Director Liz Avery-Jones  
P.O. Box 87429, Fayetteville, NC 28304**

**All materials must be received by 5 pm on February 16, 2022**

## Timekeeper/Bailiff Duties and Script

(Strike-out is included for education purposes to show both in-person and online competition processes)

Both teams will provide a timekeeper for trial, so each team should bring and use 2 stopwatches. Both timekeepers will be seated in the jury box during the trial; please choose seats that will not interfere with or disturb the scoring jurors.

The Prosecution/Plaintiff timekeeper will also serve as bailiff, calling court to order and swearing in the witnesses if the Presiding Judge does not do so.

### To open Court, Bailiff will stand and say:

~~“All rise. The U.S. District Court for the Mock District of \*\*Utopia is now in session. The Honorable Judge \_\_\_\_\_ presiding.” All participants and observers remain standing until the judge is seated. Then say, “Be seated.”~~

### Bailiff should swear in all witnesses at the judge’s request:

Witnesses should be sworn in at the beginning of the trial. If the judge asks you to swear in the witnesses, have them stand and raise their right hands.

~~“Please stand and raise your right hand. Do you promise that the testimony you are about to give will faithfully and truthfully conform to the facts and rules of the mock trial competition?”~~

~~When they respond, “I do,” say, “You may return to your seats.”: You have been sworn in.~~

### Exhibits:

All exhibits are pre-numbered, and should be left with the Presiding Judge after being entered/used.

### Both timekeepers: Keep time and know timekeeping guidelines:

You must each have 2 stopwatches to enforce time. Time does **not stop** for the introduction of physical evidence (exhibits). Time **does** stop for other interruptions in the presentation. Do not count time when witnesses are sworn in, witnesses walk to/from the stand, attorneys make objections, or the judge rules on objections.

You will both be provided with large time cards marked with “15”, “12”, “10”, “8”, “5”, “4”, “3”, “2”, “1”, “40 sec”, “20 sec”, “Stop.” Show these cards to the jury panel and to the judge at the appropriate times.

### Bailiff only: Call Court recess for jury deliberation:

When motioned to do so by the judge, say: “All rise.” When the judge has left the bench say, “Court is in recess.”

### When the Court returns from recess:

When the judge and jury reenter the courtroom, stand and say “All rise.” All remain standing until the judge says “Be seated.”

### Close the Court (after the oral critique is finished):

When motioned to do so by the judge, say: “All rise.” When the judge has left the bench say, “Court is adjourned.” \*\*Adjust to reflect the listed venue in the case materials, whether it be Utopia, New Caesarea, North Carolina, or some other locale.

### TIME LIMITS

- ◆ Opening Statement (4 minutes per side)
- ◆ Direct and Redirect (optional) Examination (20 minutes per side)
- ◆ Cross and Re-cross (optional) Examination (18 minutes per side)
- ◆ Closing Argument (5 minutes per side)



## TIMECARD GUIDELINES

### For Direct Examination

When your stopwatch says	Hold up the timecard that says
5:00	15:00
8:00	12:00
10:00	10:00
12:00	8:00
15:00	5:00
16:00	4:00
17:00	3:00
18:00	2:00
19:00	1:00
19:20	0:40
19:40	0:20
20:00	STOP

### For Cross Examination

When your stopwatch says	Hold up the timecard that says
3:00	15:00
6:00	12:00
8:00	10:00 0
10:00	8:00
13:00	5:00
14:00	4:00
15:00	3:00
16:00	2:00
17:00	1:00
17:20	0:40
17:40	0:20
18:00	STOP

### For Opening Statements & Closing Arguments

Opening		Closing	
stopwatch	timecard	Stopwatch	timecard
1:00	3:00	1:00	4:00
2:00	2:00	2:00	3:00
3:00	1:00	3:00	2:00
3:20	0:40	4:00	1:00
3:40	0:20	4:20	0:40
4:00	STOP	4:40	0:20
---	---	5:00	STOP

15 12

10

8



5

4

3

2

1

40

Sec

20

Stop

sec



**Trial Dispute Form**

<b>DATE:</b> _____	<b>ROUND (CIRCLE ONE):</b> 1 2 Final
<b>TEAM (CODE) LODGING DISPUTE:</b>	<b>LEVEL (CIRCLE ONE):</b> REGIONAL
<b>CODE OF OPPOSING TEAM:</b>	STATE FINALS
	<b>LOCATION AND COURTROOM:</b>

Grounds for Dispute: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Initials of Team Spokesperson: \_\_\_\_\_  
Hearing Decision of Presiding Judge (circle one): **Grant**      **Deny**  
Reason(s) for Denying Hearing, or Response of Opposing Team: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Initials of Opposing Team's Spokesperson: \_\_\_\_\_  
Judge's Notes from Hearing: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Decision of Judge Regarding Dispute:      **Refer to Panel**      **Do Not Refer to Panel**  
Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**This form must be returned to the Tournament Director or Designated Tournament Official along with the score sheets of all the scoring jurors.**

Signature of Presiding Judge: \_\_\_\_\_

Name of Presiding Judge (printed): \_\_\_\_\_

**Steps in a Mock Trial**  
**(strike out is included for educational purposes to show both in-person and online processes)**

### 1. The Opening of the Court

As the judge and jurors enter, the bailiff (timekeeper for the Prosecution/Plaintiff) should call the court to order (“~~All rise~~” “The U.S. District Court for the Mock District of Utopia is now in session”). ~~All the participants should remain standing until the judge is seated.~~

The judge will then ask the attorneys for each side if they are ready.

**Each team member will introduce themselves and identify the role they will play. Team leader should introduce courtroom artist if applicable.** No time is kept during this introductory phase of the trial.

### 2. Opening Statement

(a) Prosecution (in criminal cases)/Plaintiff (in civil cases)

The prosecutor in a criminal case (or plaintiff's attorney in a civil case) summarizes the evidence that will be presented to prove the case, being careful not to make any arguments or assert that the jury will hear evidence which may not be admitted.

(b) Defendant (in criminal or civil case)

The defendant's attorney summarizes the evidence that will be presented to rebut the case the prosecution has made, being careful not to make any arguments or assert that the jury will hear evidence which may not be admitted.

### 3. Direct Examination by Prosecution/Plaintiff's Attorneys

The prosecutors (or plaintiff's attorneys) conduct the direct examination of their own witnesses. At this time, testimony and other evidence to prove the prosecution's (plaintiff's) case will be presented. The purpose of direct examination is to allow the witness to state the facts in support of the case.

Note: The attorneys for both sides, on both direct and cross-examination, should remember that their only function is to ask questions; attorneys themselves may not testify or give evidence, and they must avoid phrasing questions in a way that might violate this rule.

### 4. Cross-Examination by the Defendant's Attorneys

After the attorneys for the prosecution (plaintiff) have completed questioning each witness, the judge then allows the other party (i.e., defense attorney) to cross-examine the witness. The cross-examiner seeks to clarify or cast doubt upon the testimony of opposing witnesses. Inconsistency in

stories, bias, and other damaging facts may be pointed out to the jury and the judge through cross-examination. Leading questions should be used on cross-examination (but may not be used on direct examination).

### **5. Direct Examination by the Defendant's Attorneys**

After all the prosecution's (plaintiff's) witnesses have been examined by both sides, the prosecution/plaintiff will rest its case. Next, the defense will conduct the direct examination of its own witnesses.

### **6. Cross-examination by the Prosecution/Plaintiff's attorneys.**

The prosecution's/plaintiff's attorneys will cross-examine each of the defense witnesses.

### **7. Closing Arguments (Attorneys)**

#### **(a) Prosecution (Plaintiff)**

The closing argument is a review of the evidence presented. It should indicate how the evidence has satisfied the elements of the charge or claim, describe the burden of proof, point out the law applicable to the case, and ask for a favorable verdict.

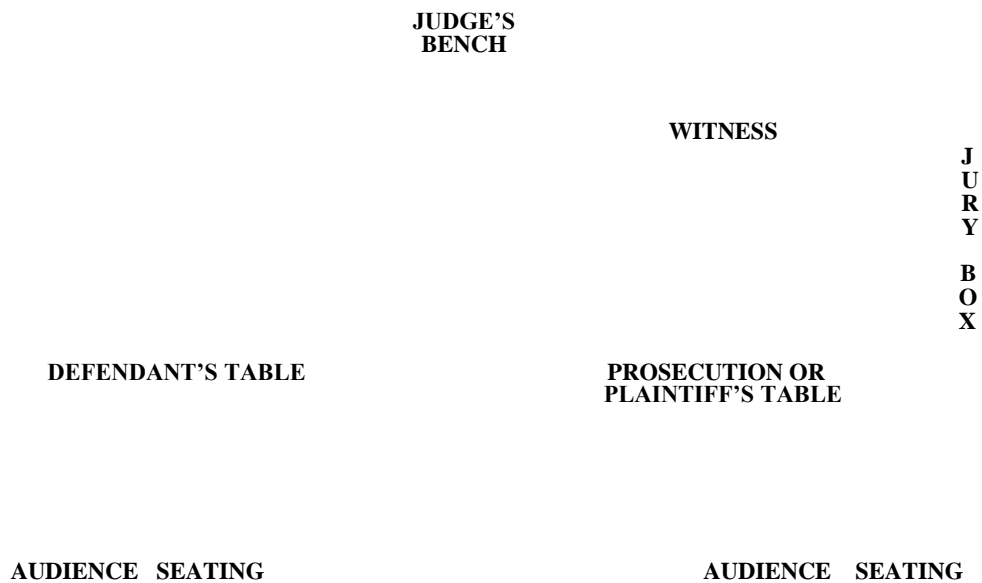
#### **(b) Defendant**

The closing argument for the defense is essentially the same format as for the prosecution. Counsel for the defense reviews the evidence as presented, indicates how the evidence does not satisfy the elements of the charge or claim, stresses the facts favorable to the defense, and asks for a verdict favorable to the defense.

**COURTROOM SETTING  
NOT APPLICABLE IN  
ONLINE COMPETITION.  
FOR EDUCATIONAL  
PURPOSES ONLY**

---

A typical courtroom setting is diagrammed below. Team and attorney advisors are advised to arrange at least one team practice at a courthouse to familiarize students with the setting.



**THE JUDGE'S ROLE**

The judge presides over the trial to assure that the parties' rights are protected and that the attorneys follow the rules of evidence and trial procedure.





### Source of Proof Chart (Defendant)

Element to refute	Witnesses	Facts/Exhibits (line number)
1.	A          B	1.          2.          1.          2.
2.	A          B	1.          2.          1.          2.
3.	A          B	1.          2.          1.          2.
4.	A          B	1.          2.          1.          2.

1. Determine the elements of the criminal charge or civil complaint which should be countered by defense
2. Examine each witness affidavit to determine which element(s) are addressed by that witness
3. Determine which facts and exhibits refute each required element in each witness's affidavit

## Objectionable Testimony

<b>P's Witnesses</b>	<b>Line number: quote</b>	<b>Rule number: basis for objection</b>
<b>D's Witnesses</b>	<b>Line number: quote</b>	<b>Rule number: basis for objection</b>

1. Examine each affidavit for objectionable material (with the assistance of attorney advisor)
2. Write the line number and actual words of the objectionable material for easy reference
3. Write the Rule number and basis for the objection

## Defending Objections to Evidence We Want Admitted

P's Witnesses	Line number: quote	Rule #: basis for admission of evidence
D's Witnesses	Line number: quote	Rule #: basis for admission of evidence

1. Examine each affidavit for evidence that might draw an objection but which you wish to get in
2. Write the line number and actual words of the evidence for easy reference
3. Write the Rule number and basis for overcoming the objection (exception to hearsay, etc.)

## **NCMTP Virtual Regional Competition How to Use Zoom Effectively**

### **LIGHTING:**

Indirect light is recommended during any video conference to avoid washing out images with high luminance. Don't have light coming from behind you or you will appear in shadow. We suggest having light come from the side or front of you.

### **SETTING THE SCENE ON ZOOM:**

Turn your camera on before competition to review what is in your shot. Choose carefully – nothing too busy or personal. Students are prohibited from having anything in their shot that would identify their school or team. If preferred, students can use a plain white or plain black virtual background; no other virtual backgrounds are allowed.

### **COMMUNICATION:**

Students are allowed to communicate with co-counsel via phone texting; please keep your phone on silent. As per the NCMTP Code of Ethics form, coaches are not allowed to communicate with students at any point during competition.

### **HOW TO RE-NAME YOURSELF BEFORE JOINING THE COMPETITION:**

We require that you re-name yourself on Zoom – by following the naming protocol listed in Rule 4.3 -- BEFORE joining the Zoom call on competition day. This will allow you to move from the waiting room into the Zoom call on competition day without delay; steps to follow:

Log into Zoom

Click the 'Profile' heading on left side of page.

Click 'Edit' in the first section of your Profile.

Edit the First Name and Last Name field and click Save Changes.

If you need to adjust your name AFTER joining the Zoom room, click on the "Participants" button at the top of the Zoom window. Next, hover your mouse over your name in the "Participants" list on the right side of the Zoom window. Click on "More". Click on "Rename" Enter your new name and click "OK". See Rule 4.3 for naming protocol for competition.

### **HOW TO ENSURE YOUR ZOOM ACCOUNT HAS NO IMAGE/MASCOT SAVED (as per rule 1.2.d)**

Log into Zoom

Click the 'Profile' heading on left side of page.

Click 'Edit' in the first section of your Profile.

Delete any image that is included with your profile

### **HOW TO ASK FOR TECHNICAL HELP IN YOUR ZOOM BREAKOUT ROOM**

To request help from the meeting host when in a breakout room click the Ask for Help button at the bottom of the screen. When prompted, click 'invite host'. Or click "Leave" to return to the Main Room and speak to the Regional Coordinator.